



Promoting Wellness and Recovery

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Overview of Certification Standards Effective April 1, 2016

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Licensure and Certification

Today's Discussion

- Big Picture Overview of AoD and MH Certification Rules that will be in place April 1
- Upcoming webinars
 - Recommendations for attendance
 - Not approved for CE credit
 - Webinar info and registration:
<http://mha.ohio.gov/Default.aspx?tabid=743>
- NOT discussing:
 - Specific Rule Content
 - BH Redesign
 - Medicaid

What Has Already Happened

Effective 1 February 2016

- Revised Chapter 5122-25 aka "Certification Process"
 - Includes AoD and MH providers
 - Webinars were held January 14 (non-deemed providers and January 19 (deemed providers)
 - Archived webinars available at:
<http://mha.ohio.gov/Default.aspx?tabid=743>

Revised Chapter 5122-25

Certification Process

- For over 2 years, MHAS has utilized a single application process that combined current 5122-25 and applicable 3793 process rules.

What is Happening

Effective 1 April 2016

- Revised OAC Chapters 5122-26, 5122-27 and 5122-28
- Revised Driver Intervention Program Rule
- Revised Prevention Rule (AoD and MH)
 - Prevention will be a MH and AoD service, not a stand-alone program
- Revised Services Provider/Supervisor Rule
- Renumbering some AoD rules which are not being revised at this time

Remaining Work

- Consolidating services via BH Redesign

Revised Chapter 5122-25

Certification Process

- For over 2 years, MHAS has utilized a single application process that combined current 5122-25 and applicable 3793 process rules.
- For Dual Providers:
 - Will still need to issue separate AoD and MH certificates until single database is complete.

ListServ

Agency Executive Director/CEO/President are on a ListServ to receive rule activity notification.

- You must have provided up-to-date, accurate information on application
- Work underway to again download all e-mails into this listserv to address known problems

Other staff may subscribe

<http://mha.ohio.gov/Default.aspx?tabid=262>

- Admin rules – for notification about formal rule process filings
- Community Behavioral Health Agency and Type 1 Residential Facility

Where Can I Find the Rules

- MHAS "Rules in Effect" Webpage
 - <http://mha.ohio.gov/Default.aspx?tabid=250>

Rules in Effect

The Ohio Department of Mental Health & Addiction Services has adopted these rules and added them to the Ohio Administrative Code.

OhioMHAS Statement on Common Sense Business Regulation

▶ Alcohol and Drug Addiction Programs [3793:2-1]
▶ Outpatient Programs [3793:2-2]
▶ Methadone Programs [3793:2-3-01]
▶ Residential Programs [3793:2-5-01]
▶ Hospital Programs [3793:2-6-01]
▶ Certification of Driver Intervention Programs [3793:4-1]
▶ General Provisions - Prevention Standards [3793:5-1]
▶ Public Hearings and Method of Notice [5122-1-02]
▶ Consultation with Constituencies Affected by Rules [5122-3-01]
▶ Pre-admission Screening and Resident Review [5122-21]
▶ Supplemental Services Fund [5122-22]
▶ Licensure of Inpatient Psychiatric Service Providers [5122-14]
▶ Certifying Community Mental Health Services or Addiction Services Providers [5122-24 to 5122-29]

Contact Us

Private Hospital Licensure

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Mental Health and/or AOD Certification or Licensure

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AoD and MH Rules

AoD

- Chapter 3793 rules now in Chapter 5122–25 to 5122-29 one of two ways:
 1. Revised and combined with MH rules, or
 2. Renumbered (no content changes)

MH

- Chapter 5122 rules are revised and combined with AoD rules
 - Some rules are also renumbered
 - E.g. existing 5122-27-05 “Individualized Service Plan” is revised and now 5122-27-03 “Treatment Planning”

Organization of Certification Standards

▼ Certifying Community Mental Health Services or Addiction Services Providers [5122-24 to 5122-29]

- ▶ Definitions and Certification Process [5122-24 and 5122-25]
- ▶ Agency Policies and Procedures [5122-26]
- ▶ Documentation Requirements [5122-27]
- ▶ Performance Improvement, Consumer Outcomes & Research Activities [5122-28]
- ▶ Service Standards [5122-29]

Three Remaining 3793 Rules

- 3793:2-1-08 "Treatment Services"
 - Descriptions of AoD Services
 - Eligible providers and supervisors
- 3793:2-1-11 "Alcohol and Drug Treatment Services Provided by Interactive Video-Conferencing"
- 3793:2-1-12 "Alcohol and Drug Treatment Services Provided by Interactive Video-Conferencing for Clients that are Deaf or Hearing-Impaired"

Renumbered Rules

Current Rule

- 3793:2-2-01 Outpatient treatment program certification
- 3793:2-3-01 Licensure to conduct an opioid agonist program

Renumbered Rule

- 5122-34 Outpatient treatment program certification
- 5122-29-35 Licensure to conduct an opioid agonist program

Renumbered Rules

Current Rule

- 3793:2-5-01 Residential treatment program certification
- 3793:2-6-01 Detoxification program certification

Renumbered Rule

- 5122-29-36 Residential treatment program certification
- 5122-29-37 Detoxification program certification

Driver Intervention Program (DIP)

Current State is two rules

- 3793:4-1-01 “Driver intervention program certification process”
- 3793:4-1-02 “Driver intervention program requirements”

April 1

- 5122-29-12 “Driver intervention program”
- Process standards, PI, Policies and Procedures, etc. are contained in Chapter 5122-25 through 5122-26, and same as for all MH and AoD providers, as applicable
- NO meaningful change to DIP program requirements, e.g. staff requirements, hours, program activities, etc.

Which Chapters or Rules Apply to My Organization

- Each chapter has an “Applicability” rule that answers this
- For example: Chapter 5122-25 on certification process is applicable to all providers, while Chapter 5122-27 containing documentation providers is applicable to some providers, which is described in the rule
- Can help you decide which webinars to attend. Each webinar description also lists a target audience, although it does not differentiate between deemed and non-deemed providers

Future Webinars – Granted or Seeking Deemed Status

- Chapter 5122-25 Archived *January 19, 2016*
- 5122-26-13 “Incident Notification and Risk Management” *March 4*
- Chapter 5122-27 Documentation Requirements *March 8*
- 5122-29-30 “Eligible Providers & Supervisors” *March 15*
- Others as applicable or that may be of interest, e.g. Prevention if applicable (*March 15*) or Performance Improvement and Outcomes (*March 16*) and/or Seclusion and Restraint (*March 11*) if of interest

Seeking Certification only for “Other Mental Health Services”

- Chapter 5122-25 Archived *January 19, 2016*
- 5122-26-13 “Incident Notification and Risk Management” *March 4*

Seeking Certification only for Consumer Operated Services

- Chapter 5122-25 Archived *January 19, 2016*
- Chapter 5122-26 Policies and Procedure *February 25*
- 5122-26-13 “Incident Notification and Risk Management” *March 4*
- Rule 5122-26-18 “Client Rights and Grievance Procedure” *March 9*
- Chapter 5122-28 Performance Improvement, Consumer Outcomes & Research *March 16*

Driver Intervention Program

- Chapter 5122-25 Archived January 19, 2016
- Chapter 5122-26 Policies and Procedure February 25
- 5122-26-13 “Incident Notification and Risk Management” March 4
- Rule 5122-26-18 “Client Rights and Grievance Procedure” March 9
- Chapter 5122-28 Performance Improvement, Consumer Outcomes & Research March 16
- There will NOT be a webinar on Rule 5122-29-12 “Driver Intervention Program” as there are no meaningful changes.

Clinical Record Documentation March 8

- If you only provide services/programs listed in paragraph (C), then not recommended
- If you only provide services/programs listed in paragraph (D), should attend the beginning

Client Rights and Grievance Procedure *March 9*

- All non-deemed providers
- Others if interested

Seclusion and Restraint *March 11*

- As applicable
- Note: S/R is not deemed for licensed MH residential providers with appropriate accreditation.

Performance Improvement, Consumer Outcomes & Research *March 16*

- All non-deemed providers
- Others if interested

MHAS Webpage

Certification and Methadone Licensure Applications

<http://mha.ohio.gov/Default.aspx?tabid=259>

Rules in Effect Webpage

<http://mha.ohio.gov/Default.aspx?tabid=250>

Contact Information

Your agency lead surveyor or a supervisor

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Questions?