

Preadmission Screening and Resident Review (PASRR) 101

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What is PASRR?

- PASRR is a federally mandated process Medicaid agencies must oversee and administer in conjunction with the state Developmental Disability authority and the state Mental Health authority
- PASRR regulations require that all individual's seeking admission to a Medicaid-certified nursing facility (NF), regardless of payer source, be assessed for indications of serious mental illness and/or a developmental disability
- PASRR applies to every individual entering or residing in a Medicaid certified nursing facility (NF), regardless of payor source

Purpose of PASRR

- To ensure that both individuals seeking admission to a Medicaid-certified nursing facility (NF) and residents with a serious mental illness (SMI) and/or developmental disability (DD) are:
 - » Identified
 - » Appropriately placed in the least restrictive setting
 - » Admitted or allowed to remain in a NF only if they can be appropriately and adequately served in that setting



PASRR Statutes and Regulations



- **Federal Statutes**

- §1919(e)(7) of the Social Security Act
- Final Rule Published 1992: 57 FR 230
- 42 CFR §483.100 - §483.138

- **State Regulations**

- OAC 5160-3-15 Preadmission screening and resident review definitions
- OAC 5160-3-15.1 Preadmission screening requirements
- OAC 5160-3-15.2 Resident review requirements
- OAC 5123:2-14-01 Developmental disabilities
- OAC 5122-21-03 Serious mental illness

<http://codes.ohio.gov/oac/5160-3>

Primary Roles

Ohio
Department of Medicaid



Ultimate oversight of the PASRR process

Ohio | Department of Aging



Manages Level I Preadmission Screen (PAS) and issues level of care (LOC) determinations

Ohio MHAS
Promoting wellness and recovery
MENTAL HEALTH & ADDICTION SERVICES



Responsible for Level II evaluations for Serious Mental Illness (SMI)

Ohio | Department of Developmental Disabilities



Responsible for Level II evaluations for Developmental Disability (DD) and Related Condition (RC)

Secondary Roles



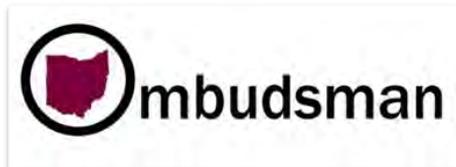
**Completes in-person Level II
assessment for OhioMHAS**



**Completes in-person Level II
assessments for DODD and arecident
reviewanges for supports/services**



**Regulates Ohio-licensed nursing
facilities**



**Advocates for admission of individuals
to the most integrated setting**

PASRR Components

- Level I (Broad Screening)
 - Refers to the screening used to identify whether a person has or is suspected of having a SMI and/or DD
 - Also known as the preadmission screening (PAS) when screening is conducted prior to nursing facility admission
- Level II (Comprehensive evaluation & determination)
 - Is the function of evaluating and determining whether NF services and specialized services are needed

Follow - up assessments

- Resident Review
- Conducted upon significant change in condition

Level I Screening

- The level I or PAS serves as an identification screen
- Broad screening designed to identify the suspicion or diagnosis of an SMI and/or DD
- Should yield a positive result if the individual has indications or a diagnosis of an SMI and/or DD
- Also identifies individuals who may be eligible for an abbreviated (known as categorical) level II evaluation
- Usually administered by:
 - Hospital Discharge Planners or
 - Nursing facility social workers

Level I Submission

- The Preadmission Screening/Resident Review (PAS/RR) Identification Screening tool (ODM 3622) is used for the submission of both a level I and resident review
- The submitter is responsible for gathering information from the individual, family, guardian and available medical records
- The submitter should be thorough as all the information gathered will assist in identifying the most appropriate placement, supports and discharge planning options

Level I Results

- Level I results determine whether an individual is subject to a level II evaluation
- Individuals with:
 - » **No** indications of SMI and/or DD are not subject to further review
 - » Indications of **SMI** are subject to further review by OhioMHAS
 - » Indications of **DD** are subject to further review by DODD
 - » Indications of **SMI and DD** are subject to further review by **both** OhioMHAS and DODD
 - » Individuals over the age of 22 who have previously been ruled out by DODD are not subject to further review

ODM 3622 Submission Process

- The ODM 3622 is completed through the “Healthcare Electronic Notification System” (HENS) or manually and then electronically transmitted or faxed to the PASSPORT Administrative Agency (PAA)
- However, ODM strongly encourages all NFs to utilize the “Healthcare Electronic Notification System” (HENS) system to complete and submit the PAS (ODM 3622)
 - » The HENS system allows hospitals and NF user to complete and submit the ODM 3622, and to receive a determination immediately if no indications of SMI and/or DD are present
 - » HENS automatically routes screenings with indications of SMI and/or DD to the OhioMHAS and/or DODD for additional level II evaluation if applicable

PASRR Categorical Determinations

- Designed for a rapid short-term admission to NF of an individual with known indications of SMI or DD into NF without a full Level II evaluation when there is sufficient documentation that the individual meets the criteria below:

Emergency Nursing Facility Stay

An individual being admitted to a nursing facility pending further assessment **for a period not to exceed seven (7) days** when the admission is necessary to avoid serious risk to the individual of immediate harm or death

Respite Nursing Facility Stay

Admission of an individual to a nursing facility **for a maximum of fourteen (14) days** in order to provide respite to in-home caregivers to whom the individual is expected to return following the brief respite stay

Hospital Exemption

- A Level I screening (ODM 3622) is not required for individuals who meet the definition of a hospital exemption
- The ODM 7000 (Hospital Exemption from Preadmission Screening Notification) is submitted for the individual to the NF via the Healthcare Electronic Notification System” (HENS) system

Individual admitted to NF directly from an Ohio hospital (non-psychiatric) after receiving acute inpatient care

or

Ohio resident admitted to NF directly from out-of-state hospital (non-psychiatric) after receiving acute inpatient care



Individual requires level of services provided by a nursing facility **for the condition for which they were treated in the hospital**



Attending physician provides written certification that individual is likely to require the level of services provided by a nursing facility for **less than thirty (30) days**

Level II Evaluations

Level II for Serious Mental Illness

Process by which OhioMHAS determines whether the individual who has a serious mental illness requires the level of services provided by a nursing facility or another type of setting, and whether they require specialized services.



Subcontractor completes the in-person level II evaluation for OhioMHAS and then forwards the results on to the OhioMHAS for determination

Level II for Developmental Disability

Process by which DoDD determines whether the individual who has a developmental disability requires level of services provided by a nursing facility or another type of setting, and whether they require specialized services.



Subcontractor completes the in-person level II evaluation for DODD and then forwards the results to DODD for determination

Level II Evaluation

- Comprehensive evaluation designed to “look behind” the diagnosis of record to make level II determination
- Individualized – in person evaluation is conducted onsite by the appropriate level II subcontractor unless it is a categorical determination
- Subcontractor forwards the results of the level II evaluation on to the appropriate department/agency for determination
- Once the appropriate level II entity has made its determination, the level II entity will send the results of the level II determination directly to the submitter

Resident Review

- Nursing facilities are prohibited from retaining individuals (residents) with SMI or DD unless a thorough evaluation indicates that such an admission is appropriate and adequate services can be provided
- The term resident review is used to describe individuals who are residents of a nursing homes from individuals that are seeking admission to a Medicaid certified NF
- The purpose of a resident review process is to ensure that NF residents total needs are met
- Resident reviews are required to identify changes in LTSS needs; recommend community alternatives to continued stays in NFs; and coordinate transition planning back to the community

Resident Review

- **The NF is required to initiate a Resident Review:**
 - » Individual admitted under the Hospital Exemption criteria and **found to require more than 30 days of service in the facility**
 - » Individual is transferring to a nursing facility and there are **no PASRR records available from the previous nursing facility**
 - » Individual transferring to a new facility or being readmitted to the same nursing facility after an intervening hospital stay for psychiatric treatment
 - » Individual has experienced a **significant change in condition**
 - » Individual was admitted under a categorical determination (emergency or respite stay) and **is found to require a stay in the nursing facility longer than the specified time limit for that category**
 - » Individual received a level II or level II resident review determination for a specified period and **has since been found to require a stay in a nursing facility exceeding the period**

Resident Review Submission Process

- To complete a resident review, a NF must complete and submit the ODM 3622
- The ODM 3622 is permitted to be faxed to the PASSPORT Administrative Agency (PAA) contact
- ODM strongly encourages all NFs to utilize the “Healthcare Electronic Notification System” (HENS) system to complete and submit the resident review (ODM 3622)
- Supporting documentation must be included with this submission
- The resident review is routed in a similar way as the Level I

Resident Review Determinations

- OhioMHAS and DODD may approve a determination for an **unspecified** or **specified** period
 - » A specified period may not exceed 180 days
- If the resident was admitted for a specified time and it's determined that they will require longer stay than the specified time period, an extension request to the original **specified** period can be requested
 - » Extension request must be approved by ODM
 - » Extensions shall not exceed 90 days
 - » NF's shall initiate a resident review in HENS at least 30 days prior to the expiration of the determination in order to receive consideration for an extension

Timeframe for PASRR Process

- Must be completed within 7-9 working days
- The nursing facility is ultimately responsible for ensuring that a results letter of determination approving NF admission is rendered **BEFORE** admitting the individual to its facility
- PASRR is a **cyclical process** as long as the resident resides in a nursing facility
 - » An individual who originally does not trip for SMI or DD should be re evaluated after a significant change in their condition
- The definitive end point for the PASRR process is when the individual is discharged from the facility or passes away prior to discharge

PASRR Resources

- HENS User Guides:

<https://www.hens.age.ohio.gov/Tutorials.aspx>

- Nursing Facility Admissions: Most Common Scenarios for Preadmission Screening and Resident Review (PASRR) and Level of Care (LOC) Reference Sheet:

<http://medicaid.ohio.gov/Portals/0/Providers/ProviderTypes/LongTermCare/IICF/MostCommonScenarios.pdf>

- PASRR review 101 Presentation:

<http://medicaid.ohio.gov/Portals/0/Providers/ProviderTypes/LongTermCare/PASRR/PASSR-101.pdf>

Helpful Contacts

- PASRR and level of care policy and procedure questions should be directed to ODM at PASRR@medicaid.ohio.gov
- Nursing facilities should direct HENS system questions to the PASSPORT Administrative Agencies (PAAs)
- Technical issues with HENS should be reported to [ODA ISD Helpdesk@age.ohio.gov](mailto:ODA_ISD_Helpdesk@age.ohio.gov)

