



Department of
Mental Health

John R. Kasich, Governor
Tracy J. Plouck, Director

Numbered Advisory Memorandum

Date Issued: 6/30/2011

Number: 6-FY11-6

Originator(s):

Matthew V. Loncaric, M.P.A.
Mental Health Administrator:
Division of Program & Policy Development

Subject: Allocations
 Certification
 Licensure
 Medicaid
 Policy and/or Procedure
 Other Critical Information

Approval(s):

Debbie Nixon-Hughes, MSW, LISW-S, Deputy Director:
Division of Program & Policy Development
Marion Sherman, M.D., ODMH Medical Director
Division of the Medical Director

To: SFY 2012 Applicants of
Continuation Federal Block
Grant Funding

Contact(s):
matthew.loncaric@mh.ohio.gov
614-466-1204

Required Action: No Yes, by this date: 6/30/2011

TITLE: Announcement of State Fiscal Year 2012 (SFY 12) Intent to Renew Funding and procedures for application for renewed funding from Community Mental Health Block Grant (BG).

IMPORTANT NOTES (Please Read Before Continuing):

- (1) The procedures, reviews, and deadlines described in this Announcement **DO NOT APPLY** to Block Grant Base allocations to Boards for direct services.
- (2) Eligible applicants as listed in Appendix A will receive from ODMH Project Leads and must submit an original signed Agreement & Assurances (AA) to ODMH before first quarter SFY 2012 Federal funds can be disbursed to an applicant. **For an applicant to receive funds as quickly as possible, submission of an original signed AA June 30, 2011 will enable ODMH to forward first quarter funds.**
- (3) ODMH Project Leads will send to applicants additional documents comprising the Application for SFY 2012 Continuation Funding, and negotiations between the applicant and the Project Lead must be successfully concluded by August 15, 2011 if the applicant is to receive additional SFY 2012 quarterly funding. See **Dates for Required Action** below.
- (4) Proposed funding is contingent on the final federal Block Grant award and Ohio's approved biennial budget. ODMH continues to monitor Block Grant funding and SAMHSA directives, but **we caution all funding recipients to plan for potential changes and limitations with regard to future funding.**

All ODMH policy memoranda are posted on the ODMH Web site at mentalhealth.ohio.gov/partner-resources

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CONTENT: Director Plouck's Memorandum of June 17, 2011, "SFY 12 Block Grant Allocations" outlines the context, rationale for decisions and direction related to Block Grant projects that have been chosen to apply for continuation, at specified levels of funding. With the application process for Block Grant funding falling so close to the beginning of SFY 12, changes in the process are necessary. For the projects listed in Appendix A, ODMH is offering to extend the terms of the existing funding agreements, amended to reflect the SFY 12 annualized funding amounts reflected in Appendix A, for up to six weeks, during which time the terms of the SFY 12 agreements may be negotiated. The changes are necessary to: (1) allow time for productive negotiations between the ODMH Project Leads and the applicants for continuing projects; and, (2) avoid disruption to funding for the selected projects. Applicants may accept these terms by submitting an executed Agreement and Assurances document as described below.

The changes include:

- (1) The Agreement & Assurances (AAs) are included with this document. The AAs must be signed by the applicant project and submitted to ODMH by June 30, 2011 (see Dates for Required Action for instructions). Execution of this document is required for ODMH to disburse Federal funds.
- (2) Applicants for continuing Block Grant projects will receive SFY 12 first quarter funds (25% of the SFY 12 total amount) after ODMH's receipt of the applicant's signed original hard copy of the AAs.
- (3) Application documents for SFY 12 will be distributed via e-mail to the applicant by the ODMH Project Lead. The ODMH Project Lead will negotiate with the applicant to achieve, by August 15, 2011, an agreed upon budget, budget narrative and deliverables as set forth in the Performance Measurement Worksheet (PMW).
- (4) On or about August, 15, 2011, the Director and/or designees will approve the successful applications to allow for continued funding for the remainder of SFY 12.
- (5) Successful applicants will begin standard quarterly progress reports with the second quarter of SFY 12 based on PMWs agreed to in SFY 11 (unless alternative arrangements are agreed to by the ODMH Project Lead and the applicant), and PMWs negotiated for SFY 12 for the third and fourth quarters.
- (6) "Advocacy" organizations, as listed in **Appendix A: Notice of Intent to Fund Table**, must submit to their ODMH Project Leads a sustainability plan by October 1, 2011 that outlines how the organization would adapt to receipt of 75% of the FY 12 Block Grant funding levels in FY 13.

ELIGIBILITY: Applications for SFY 12 Continuation Funding pursuant to this Announcement may be submitted only by designated eligible applicants who received SFY 11 Block Grant funds (see Appendix A: SFY 2012 Notice of Intent to Fund Table). Selection for renewed funding is based on: adherence to priorities as defined by SAMHSA and ODMH priorities, past performance as an Ohio Community Mental Health Block Grant Award recipient, and funding availability.

Eligibility to apply for new Block Grant initiatives in SFY 2012 will be announced in subsequent communications.

APPLICATIONS: Applications for SFY 12 Continuation Funding are comprised of a budget, budget narrative, and the project's Performance Measurement Worksheet (PMW). The PMW describes the goals and objectives, activities, performance indicators or measures, and the proposed impact on stakeholders, especially consumers with Serious Mental Disabilities (SMD)/Serious and Persistent Mental Illness (SPMI), children with Serious Emotional Disturbance (SED), family members, and the public mental health system.

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CONDITIONS: All eligible applicants for renewed funding must negotiate with their ODMH Project Lead and submit written, agreed upon applications in the format specified in this Announcement (see **Required Action** below). If the applicant and ODMH Project Lead do not agree upon all the elements of the application by August 15, 2011, ODMH reserves the right to make no sub-award, make a sub-award for a lesser amount, make alternative sub-awards for the specified project, or make sub-awards for less than one full year. ODMH reserves the right to ask clarifying questions, issue conditional sub-awards, and negotiate the final application with one or more applicant(s). ODMH reserves the right to waive errors and omissions that do not materially affect the outcome of said application. Errors and omissions may result in lower evaluation scores or rejection of the application.

EVALUATION AND AWARD: Each application will be reviewed by the ODMH Project Lead and the respective Office Chief. Recommendations based on these application reviews, as well as fiscal and programmatic reviews of past performance and proposed budgets, will be forwarded to the ODMH Director or designees. ODMH will publish a finalized Notice of Intent to Fund on the ODMH website contingent on approval of the application. Any contingencies or exceptions to the application as determined during the review process may be appealed to the Director of ODMH or designees whose decision to fund, modify, or not-fund is final.

REQUIRED ACTION: Eligible applicants as listed in Appendix A must submit, by the required date, time, and in the required formats, all information described in this announcement including completed: Agreement and Assurances, Application for SFY 12 Continuation Funding comprised of a budget and budget narrative, PMW, and any additional attachments sent by ODMH to those organizations by electronic mail.

DATES FOR REQUIRED ACTION:

Date	Required Action
6/30/2011	<p>Agreement and Assurances: The Agreement & Assurances accompany this Announcement. One original hard copy including authorized signatures must be received by the ODMH designee at the address below (hand delivery, by courier, or U.S. mail) by the same deadline:</p> <p style="text-align: center;">Ohio Department of Mental Health Matthew V. Loncaric, M.P.A. Division of Program & Policy Development 30 East Broad Street, 8th Floor Columbus, Ohio 43215-3430</p>
TBA	<p>ODMH Project Leads will send the following via electronic mail to each applicant:</p> <ol style="list-style-type: none"> 1) Numbered Advisory Memorandum (PDF) 2) SFY 12 Continuation Funding Application (MS Word) 3) Guidance Document for SFY12 Continuation Funding Application (MS Word) 4) Performance Measurement Worksheet Template (MS Word)
8/15/2011	<p>Questions may be submitted to Applications12@mh.ohio.gov or the Block Grant voicemail at 614-466-1204 until August 15, 2011. Please provide any necessary respondent contact information. Responses will be provided within two (2) business days.</p>
8/15/2011	<p>Completed SFY 12 Continuation Funding Applications, including completed Performance Measurement Worksheets, <i>must be received</i> by ODMH via electronic mail at Applications12@mh.ohio.gov no later than 4:00PM, August 15, 2011.</p>

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10/1/2011 "Advocacy" organizations, as listed in Appendix A: Notice of Intent to Fund Table, must submit to their ODMH Project Leads a sustainability plan by October 1, 2011 that outlines how the organization would adapt to receipt of 75% of the FY 12 Block Grant funding levels in FY 13.

PLEASE NOTE: The risk of delay or failure of delivery for any required submission is borne by the applicant.

APPLICABILITY TO ASSOCIATED FUND AWARDS: The procedures described in this Numbered Advisory/Notice of Intent to Fund announcement may be applied to other grant funds, including Rotary and Special Project funds, associated with the Community Mental Health Block Grant in SFY 2012.

NAME, TELEPHONE NO., AND EMAIL OF CONTACT PERSON(S):

All information requests or questions must be submitted to Applications12@mh.ohio.gov.

cc: Tracy J. Plouck, Director
Leadership Support Team
Program and Policy Development Leadership
ODMH Project Leads

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Appendix A: SFY 2012 Notice of Intent to Fund Table

Intent to Fund Agency	Project Name	Fund Source	Project Reference Code	Sub-Award Number	Maximum Award	Project Lead	Phone Number	E-mail Address
AGGREGATE FOCUS ON ODMH PRIORITIES								
ADAMH Boards allocation	BG Base	BG	Board Base	Board Base	\$7,500,000	Fiscal	-	-
ODMH BG Admin	BG Administration	BG	Fiscal Base	Fiscal Base	\$684,762	Fiscal	-	-
CHILDREN = \$900,000								
MHA Summit County	Red Flags	BG	BG-12-401-04	BG-12-401-04-001	\$75,000	Kay Rietz	614.466.0111	kay.rietz@mh.ohio.gov
Case Western Reserve University	CIP CCOE	BG	BG-12-411-02	BG-12-411-02-001	\$325,000	Linda Garrick	614.752.9303	linda.garrick@mh.ohio.gov
Franklin ADAMH	Deaf/HOH Children	BG	BG-12-014-02	BG-12-014-02-001	\$500,000	Linda Garrick	614.752.9303	linda.garrick@mh.ohio.gov
FORENSIC = \$300,000								
Statewide Forensics	Forensic Base	BG	Forensic Base	Forensic Base	\$110,000	Fiscal	-	-
Summit ADM Board	Criminal Justice CCOE	BG	BG-12-424-14	BG-12-424-14-001	\$190,000	Kathy Coate-Ortiz	614.466.3105	kathy.coate-ortiz@mh.ohio.gov
ADVOCACY = \$1,815,000								
NAMI-O	Adult & Children Operational Assistance & Training	BG	BG-12-501-20	BG-12-501-20-001	\$575,000	Roy Pierson	614.466.7347	roy.pierson@mh.ohio.gov
Ohio Empowerment Coalition (OEC)	Directives, WRAP & Bridges	BG	BG-12-111-20	BG-12-111-20-001	\$365,000	Sharon Fielding	614.466.7460	sharon.fielding@mh.ohio.gov
	Operational Assistance							
Ohio Suicide Prevention Foundation	OSPF	BG	BG-12-303-04	BG-12-303-04-001	\$275,000	Liz Gitter	614.466.9963	liz.gitter@mh.ohio.gov
NAMI-O	MACC Operational Assistance	BG	BG-12-502-20	BG-12-502-20-001	\$300,000	Jamoya Cox	614.728.5687	jamoya.cox@mh.ohio.gov
Federation for Children's Mental Health	Children & Family Advocacy	BG	BG-12-920-02	BG-12-920-02-001	\$100,000	Kay Rietz	614.466.0111	kay.rietz@mh.ohio.gov
ACF Association	Adult Care Facilities	BG	BG-12-100-17	BG-12-100-17-002	\$65,000	Doug Bailey	614.466.9933	doug.bailey@mh.ohio.gov
MHA Franklin County	Stipends, TFB, TA	BG	BG-12-601-17	BG-12-601-17-001	\$100,000	Debbie Hughes	614.466.4332	debbie.hughes@mh.ohio.gov
	& Scholarships							

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ODMH	Toll Free Bridge	BG	Fiscal Base	Fiscal Base	\$35,000	Fiscal	-	-
OTHER BEST PRACTICES - \$1,082,600								
Case Western Reserve University	SAMI IDDT	BG	BG-12-425-14	BG-12-425-14-001	\$427,500	Dana Harlow	614.728.4673	dana.harlow@mh.ohio.gov
Case Western Reserve University	COS Supported Employment	BG	BG-12-328-06	BG-12-328-06-001	\$85,500	Amy Price	614.466.9969	amy.price@mh.ohio.gov
Case Western Reserve University	Supported Employment CCOE	BG	BG-12-429-06	BG-12-429-06-001	\$171,000	Amy Price	614.466.9969	amy.price@mh.ohio.gov
Wright State University	MIDD CCOE &	BG	BG-12-426-14	BG-12-426-14-001	\$85,500	Michael Schroeder	614.466.9995	
	NADD Conference							michael.schroeder@mh.ohio.gov
Belmont- Harrison-Monroe	WMR	BG	BG-12-420-14	BG-12-420-14-001	\$296,100	Afet Kilinc	614.752.9703	afet.kilinc@mh.ohio.gov
MIHA Franklin County	Get Connected	BG	BG-12-601-14	BG-12-601-14-001	\$17,000	Afet Kilinc	614.752.9703	afet.kilinc@mh.ohio.gov
Case Western Reserve University	All Ohio Conference	BG	TBA	TBA	\$ 0 (in SFY12)	Michael Schroeder	614.466.9995	michael.schroeder@mh.ohio.gov

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