

Evidence-Based Practices of Community Supervision:

Part 2, What Works in Parole and the Prisoner Reentry Process

This training session was developed by the American Probation and Parole Association, in partnership with the National Reentry Resource Center, and is made possible through funding by the Bureau of Justice Assistance, U.S. Department of Justice.



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the NATIONAL REENTRY RESOURCE CENTER

— A project of the CSG Justice Center —

www.nationalreentryresourcecenter.org

- The resource center is continually updating its website with materials relevant to the reentry field.
- Sign up for the monthly NRRC newsletter to receive news about upcoming distance learning and funding opportunities.

The screenshot shows the homepage of the National Reentry Resource Center. At the top, there is a navigation bar with links for Home, About, Library, Topics, Training & TA, Reentry Facts, and What Works. Below the navigation bar, there is a search bar and a welcome message: "WELCOME TO THE National Reentry Resource Center". The main content area is divided into several sections: "Subscribe to our newsletter!" with a form for Name and Email, and a "Subscribe" button; "Audiences" with links for States/Locals, Community and Faith-based Organizations, and People Returning Home; "Tools & Resources" with links for Calendar, Funding, Reentry Service Directories, Program Examples, Second Chance Act, and Forums/Networking Announcements; "Popular Topics" with links for Housing, Substance Abuse, Juveniles, Employment, and Starting a Reentry Initiative; "Announcements" with links for National Reentry Resource Center Announces Two Upcoming Web Events, Recognizing the Value of Peer Recovery Support, and Justice Mapping Center Launches First National Atlas of Criminal Justice Data; "What's New?" with links for The National Reentry Resource Center is Hiring!, Register Now for Webinar: The Essential Elements of Reentry Webinar: Primary Care and the Transitions Clinic Approach, Updated Version of Back to School: A Guide to Continuing Your Education after Prison Now Available, Watch "From Arrest to Homecoming--Addressing the Needs of Children of Incarcerated Parents" Webinar, and Watch "Local Governments Role in Reentry" Webinar; and "Reentry in the Media" with a link for New York Amsterdam News (NY) — New homes open for the formerly incarcerated.



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Speakers

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American Probation and Parole Association

www.appa-net.org

- Information about our specialized training, current projects, and next institute can be found on the website.
- APPA is currently conducting a membership drive called “Just 1.”

The screenshot shows the homepage of the American Probation and Parole Association. At the top, there is a logo and the text 'American Probation and Parole Association' with the tagline 'A Force for Positive CHANGE.' Below this is a navigation bar with links for 'HOME', 'SITEMAP', and 'SEARCH'. The main content area is divided into several sections: 'ACCOUNT PROFILE LOG-IN' with a login form, 'FEATURES' with a banner for the 'San Diego APPA 2012 WINTER TRAINING INSTITUTE' (Feb 26-29), and 'RESOURCE SPOTLIGHT' featuring 'COMMUNITY SUPERVISION WORKLOAD CONSIDERATIONS FOR PUBLIC SAFETY'. Below these are 'ANNOUNCEMENTS' (highlighted with a red circle), 'ACTIONS', and 'Community Corrections Buyers' Guide'. At the bottom, there are sections for 'APPA WEBSITES' and 'UPCOMING APPA TRAINING'. A red arrow points from the text 'APPA is currently conducting a membership drive called “Just 1.”' to the 'Become a MEMBER!' link in the 'ANNOUNCEMENTS' section.

Learning Objectives

The **goal** of this webinar is to educate community corrections professionals on evidence-based practices (EBPs) of parole supervision, particularly with respect to the reentry of parolees leaving prison.

By the conclusion of this webinar, participants will be able to:

- Understand the core elements of EBPs and parole supervision.
- Discuss the pros and cons of EBPs implementation.
- Recognize leadership qualities that are conducive to using a successful evidence-based approach.
- Identify at least two practices that they could implement to enhance parole supervision and reentry outcomes.



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What are EBPs?

The objective, balanced, and responsible use of current research and the best available data to guide policy and practice decisions, such that outcomes for consumers are improved.

- Justice-Involved Individuals
- Victims and Survivors
- Communities



fair, just, proportionate, and effective sanctioning goals

(Crime and Justice Institute 2009)



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So, what's the point of EBPs?

- Reduces recidivism
- Upholds public safety
- Reduces costs
- Enhances collaboration

ESTABLISHES A CORE QUALITY ASSURANCE AND EVALUATION PROCESS



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Principles of Effective Intervention



1. Assess Actuarial Risk/Needs
2. Enhance Intrinsic Motivation

3. Target Interventions

- *Risk Principle*
- *Need Principle*
- *Responsivity Principle*
- *Dosage*
- *Treatment Principle*



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EBPs, Parole, & Reentry

Over 2 million
inmates in jails
and prisons

About two-thirds
are confined to
state prisons

95% will be
released from
prison

About 40% will
return to prison
within three years
of release



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Challenges to Successful Reentry

1. Public Safety
2. Families and Children
3. Employment
4. Public Health
5. Housing and Living Conditions
6. Civic Identity
7. Impact on Community



(Travis 2005)



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Principles of Effective Reentry

1. Preparing for Reentry
2. Building Bridges
3. Seizing the Moment of Release
4. Strengthening the Concentric Circle of Support
5. Tailor Conditions of Supervision – the “three R’s”
6. Front-Load Supervision Resources
7. Implement Placed-Based Supervision
8. Promoting Successful Reintegration as a Systematic Goal

(Solomon et al. 2008; Travis 2005)



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EBPs and Reentry in Iowa

1. EBPs agency currently employs
2. Impact on outcomes?
3. Major challenges?
4. Essential elements for successful implementation?
5. Leadership styles/ characteristics



EBP Platform in Iowa

- Increasing Public Safety through the Successful Reentry of Inmates from Prison
- Evidence-Based and Emerging Practices in the Iowa Corrections System



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Timeline

- 1983- Iowa Department of Corrections created and CBC Districts assigned parole and work release
- 1998-Reorganized institutions and CBC Districts into Eastern and Western regions, the Division of Offender Services was established
- 1999- LSI-R assessments implemented with focus on addressing criminogenic needs to reduce risk
- 2000- ICON implemented in CBC



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Timeline continued...

- 2003- SVORI grant funded reentry pilot program
- 2004- CBC begins implementing EBP and institutions join CBC on ICON
- 2005- KAIZEN Reentry event, PRI grant- Urban focus, Mental Health Reentry grant
- 2006- Institutions begin implementing EBP, Statewide Reentry Coordinator, Reentry Coordinators and assessment staff at reception center funded
- 2007- EBP Steering Committee implements Program Audit Process



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EBP Audit Risk Assessment

- Is a validated risk assessment conducted to determine criminogenic need?
- Does the program address one of the LSI identified needs (Alcohol/Drugs, Emotional/Personal, Attitude/Orientation, Employment)?
- Describe the criteria for program placement (risk based, dosage/duration of treatment based on risk, stage of change, reassessed for risk reduction).



EBP Questions to Address

Audit-Responsivity

- Is intrinsic motivation enhanced (motivational interviewing, incentives, rewards, etc.)?
- Is treatment matched with the characteristics of the parolee?
- Is staff matched with treatment type?

Continuing Support in the Community

- Is sustaining community support addressed, including the use of mentors, support groups, etc.?

Cognitive Behavioral Interventions

- Are cognitive behavioral interventions used (thinking, feelings, behavior; skill training with directed practice; role modeling, use of structured time booster sessions/aftercare)?



EBP Questions to Address

Program Evaluation

- Has the program had an external evaluation?
- Is internal program performance or evaluation data collected?

Clinical Supervision

- Has the program supervisor been trained in EBP, motivational interviewing or program specific intervention skills?
- Has the program supervisor been trained in clinical supervision skills/techniques?



A Focus on Reentry

- 2007- Reentry Coordinators begin meeting, PRI grant- Rural focus
- 2008- Reentry in the State of Iowa: Accomplishments and Planning for the Future
- Iowa Corrections Reentry Team established
- Iowa Reentry Model designed
- Iowa Workforce and Reentry Advisors funded



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Iowa Corrections Reentry Team

- The ICRT will engage parolees, staff, victims and the community to develop and implement the **Iowa Reentry Model** that will include a defined process to guide effective reentry from sentencing to discharge taking into consideration differences in resources in different parts of the state.
- The goal of the Iowa Reentry Model is to reducing future criminality, victimization, and involvement in the correctional system.



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Iowa Reentry Model

- Consistent, actuarial assessments of risk and needs
- Effective interventions only
- A seamless case management system
- Risk reduction efforts as a primary focus
- A range of supportive systems in place
- Effective measurements



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Iowa Reentry Model continued...

- Training and quality assurance must be in place
- Clear policy and other documentation
- A range of incentives and sanctions for parolees
- Effective communication among all partners in the process
- The building of partnerships inside and outside of corrections
- Ensure victims have a voice



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TPC Model Implementation

- 2009-Mission- To advance successful parolee reentry to protect the public, staff and parolees from victimization
- Follow-up conference to showcase actions taken based on the recommendations made in September
- Grant funds three additional Reentry Coordinators in the institutions
- Executive Order 15 establishes the Ex-Offender Reentry Coordinating Council
- Technical assistance to implement NIC Transition from Prison to the Community model



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Critical Issues & Goal of TPC

Issues

- Involve multiple stakeholders (not solely a corrections issue)
- Concentrate on reforming systems
- Transition starts at admission – or before
- Risk reduction reforms are driven by evidence-based practices
- Monitor performance and evaluate

Goal

- To enhance the successful transition of parolees from prison to the community in order to enhance public safety and reduce future victimization through recidivism reduction.



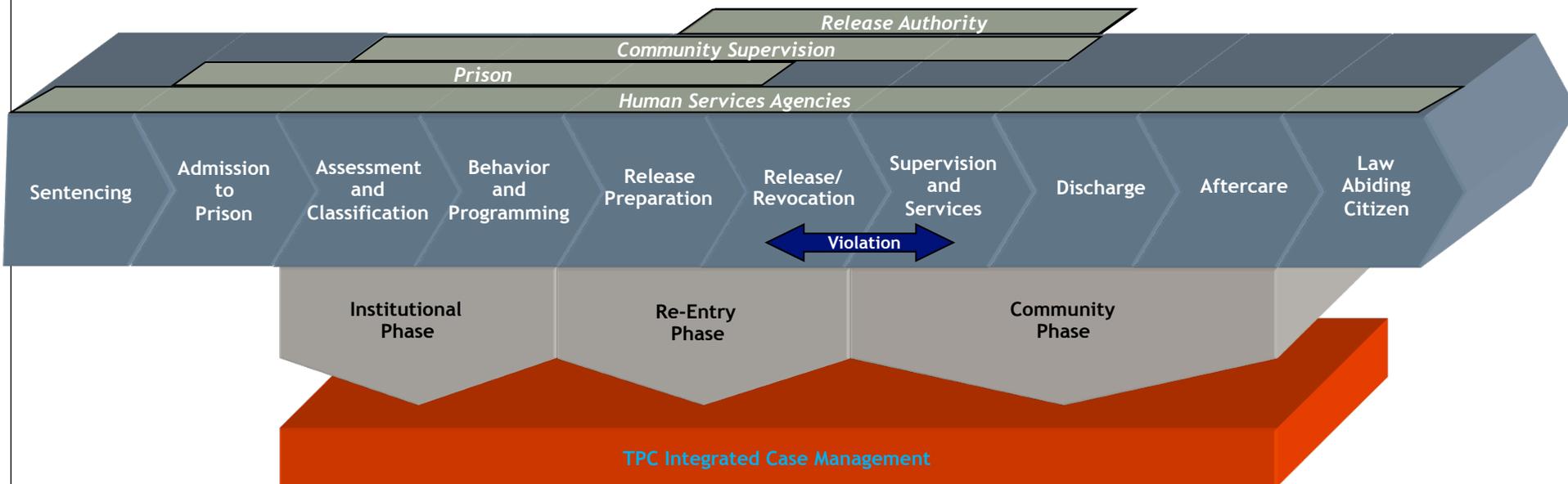
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The TPC Model

Transition From Prison to Community

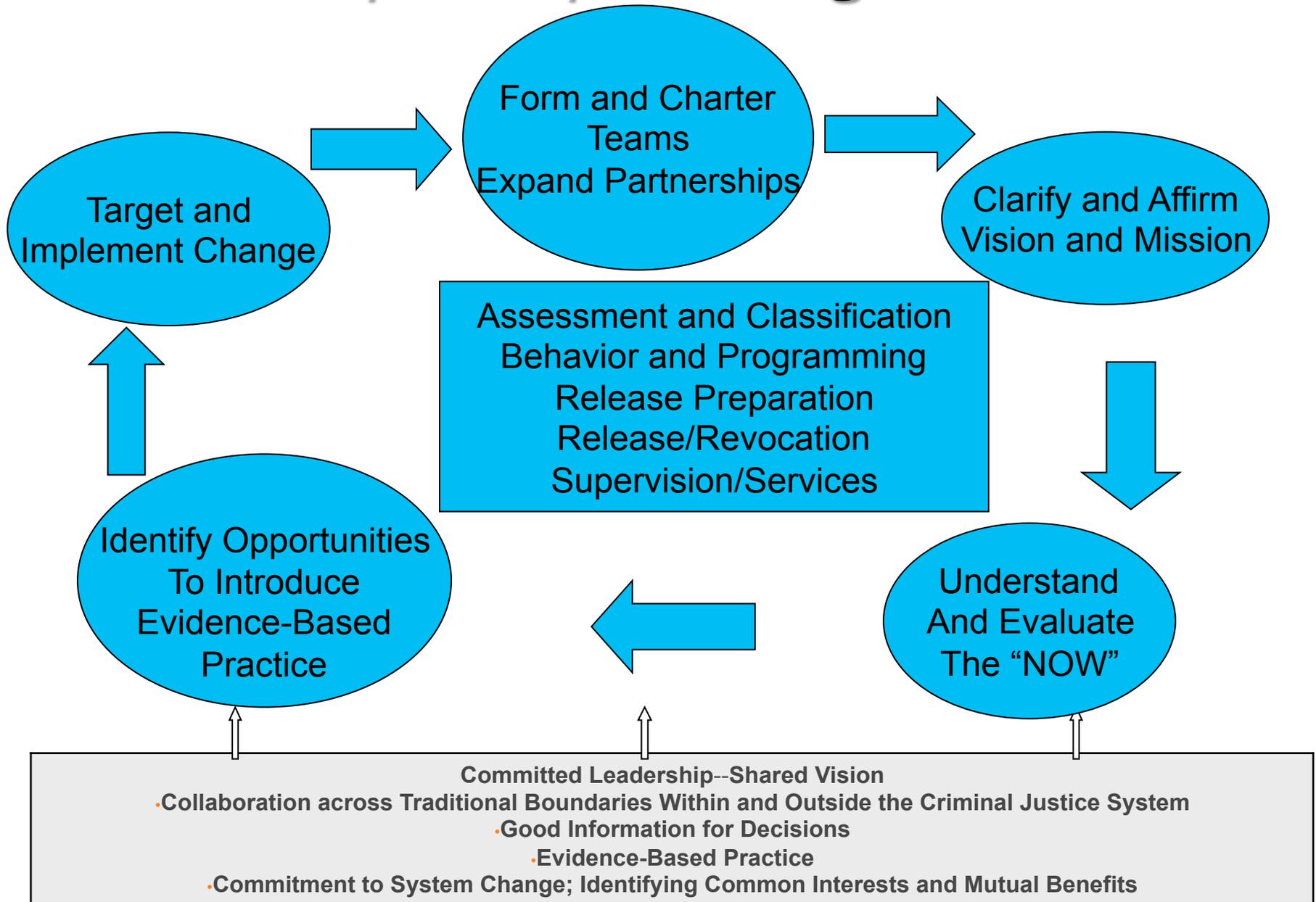
<http://www.prisontransition.com/>



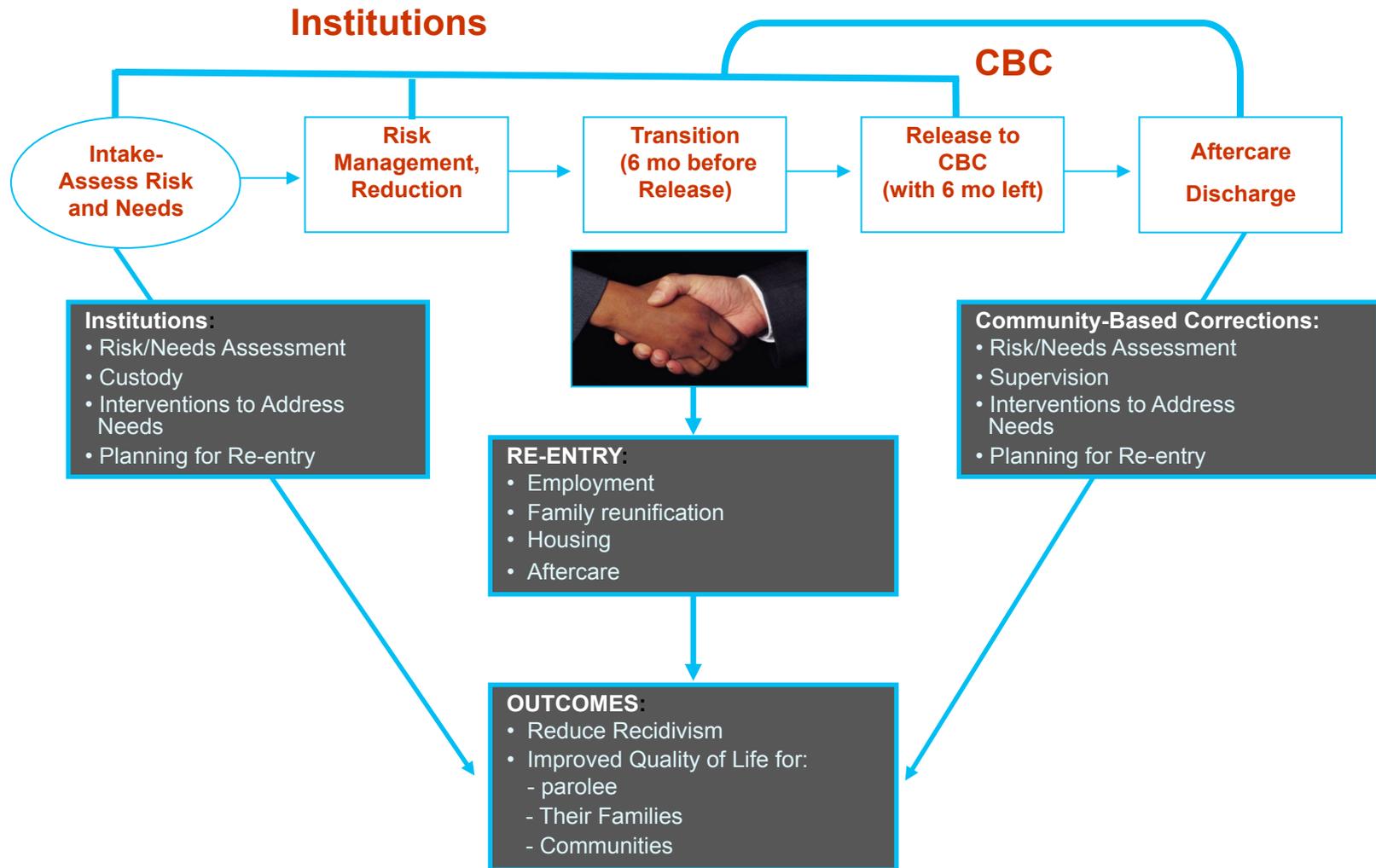
IA, KY, MN, TN, TX, WY

GA, IN, MI, MO, ND, NY, OR, RI

A Roadmap for Implementing the TPC Model



Reentry in Iowa: Utilizing evidenced based practices and creating partnerships to prepare parolees to be pro-social members of the community without supervision.



Assessment Phase

- Custody Classification
- LSI-R and Jesness
- Level of Service Substance Abuse Assessment
- Psychological Screen and Assessment
- IQ Testing
- Test of Adult Basic Education
- Traumatic Brain Injury Screen
- Foundation of Reentry Case Plan



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Risk Mgmt / Risk Reduction Phase

- Assign to facility that meet custody, treatment & reentry needs
- Reentry Case Plan negotiated
- Treatment Readiness activities include education and motivational enhancement
- Assign to interventions to address needs and reduce risk
- Reassess and transfer to least restrictive environment as risk reduced



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Transition Phase

- Housed in minimum custody facility near release community
- Focus on life skills, meaningful work, family reunification and release planning
- Reentry Case Plan renegotiated and updated
- Prepare for Board of Parole review
- Engage CBC and other community partners in reentry planning prior to release



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Release Phase

- At least 6 months left on supervision
- Risk and needs reassessment
- Level of supervision determined
- Reentry Case Plan renegotiated and updated
- Assign to interventions/ continuing care to reduce risk
- Reunited with family/ pro social associates
- Employment and appropriate housing secured
- Aftercare and discharge plan



Performance Measures & Outcomes

Reentry Process

- *Assessed Criminogenic Needs*: Percent of required LSI-R assessments completed
- *Reentry Case Plan Completed*: Percent of parolee reentry case plans completed
- *Parole Processing Time (Prisons)*: Median time from parole board order to release from institution
- *Parole Processing Time (CBC)*: Median time from parole board order to release from institution



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Performance Measures & Outcomes

Institution Preparation (Readiness for Change)

- *Treatment Completion (Prisons):*
Percent of parolees successfully completing interventions
- *Treatment Involvement (Prisons):*
Percent of medium-to-high risk parolees receiving interventions for a top need (priority 1 thru 4)



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Performance Measures & Outcomes

Community Stability

- *Address Changes*: Capture rate of address changes in population, or number of changes prior to discharge
- *Alcohol/Drug Usage*: Percent of positive UA/BAs screenings
- *Employment Rate*: Percent of high-normal and above parolees employed
- *Job Retention*: Capture rate of job changes in population
- *Restitution Plan Compliance*: Percent of parolees making regular payments
- *Treatment Involvement (Community)*: Percent of medium-to-high risk parolees receiving interventions for a top need (priority 1 thru 4)



Performance Measures & Outcomes

Outcomes

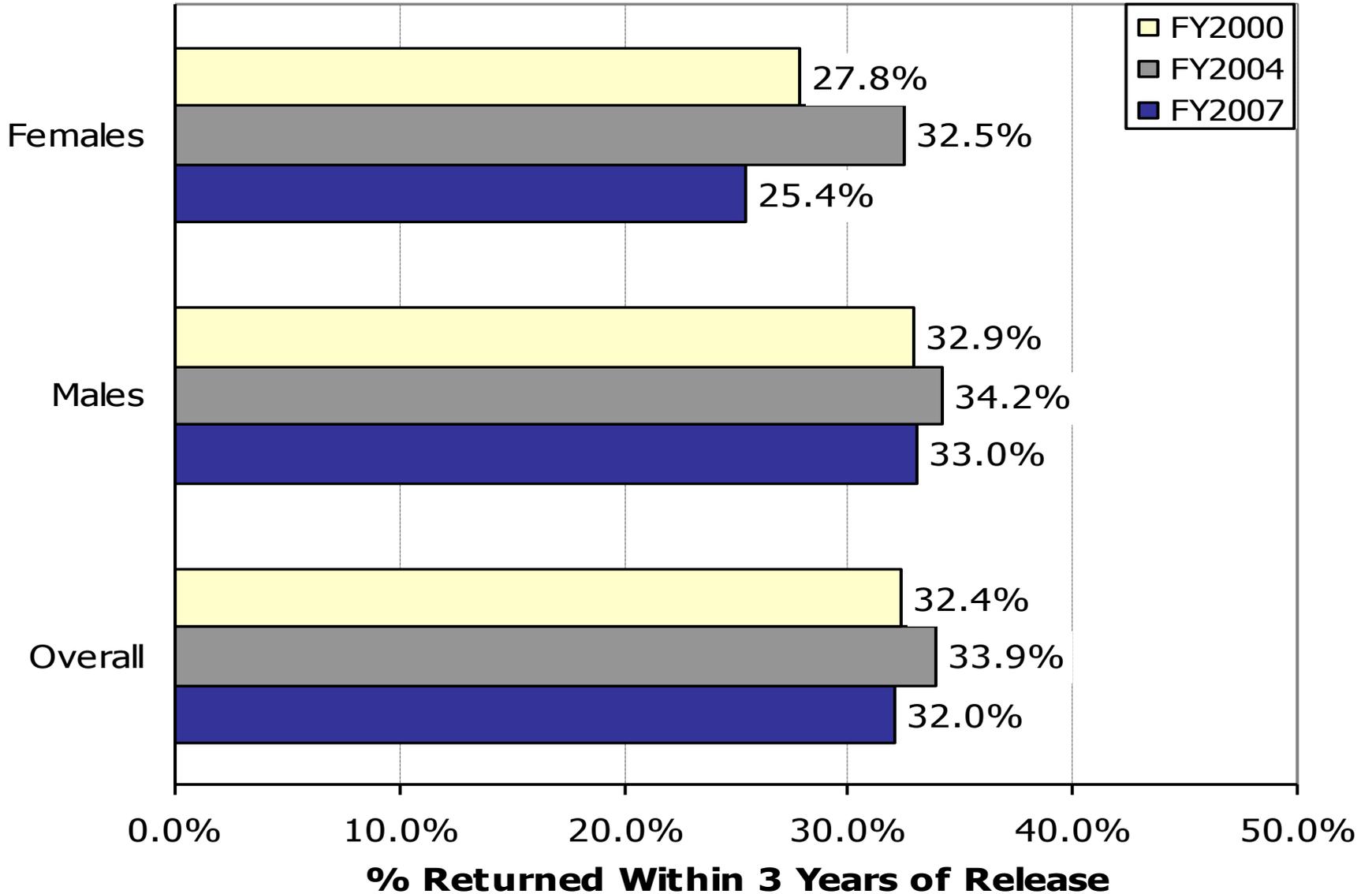
- *Revocations (Technical Violations of Probation)*: Percent of probation revocations for other than new charge
- *Revocations (Technical Violations of Parole / WR / OWI)*: Percent of parole, work release, and OWI revocations for other than new charge
- *Recidivism (Post-Release Recidivism Rate)*: Percent of parolees with new felony conviction within 3 years of release
- *Recidivism (Return Rate to Prison)*: Percent of parolees returned to prison within 3 years of release



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Return Rate to Prison Releasees in FY2000, FY2004 & FY2007



Advancing Successful Reentry Team

- 2010- Advancing Successful Reentry Team established
- Second Chance Act Grant - WISH
- EO 15 report to the Governor
- 2011 - Advancing Successful Reentry Team reentry pre-audit process designed, site visits occur, documentation begins
- Focus on EBPs and reentry to reduce risk and the number of parolees we serve
- Improve our response to parolees with mental illness



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Tools: Companion Documents

TPC Reentry Handbook:

Implementing the NIC Transition from Prison to the Community Model

<http://static.nicic.gov/Library/022669.pdf>

*Increasing Public Safety Through Successful Offender Reentry:
Evidence-Based and Emerging Practices in Corrections*

http://www.ojp.usdoj.gov/BJA/pdf/SVORI_CEPP.pdf

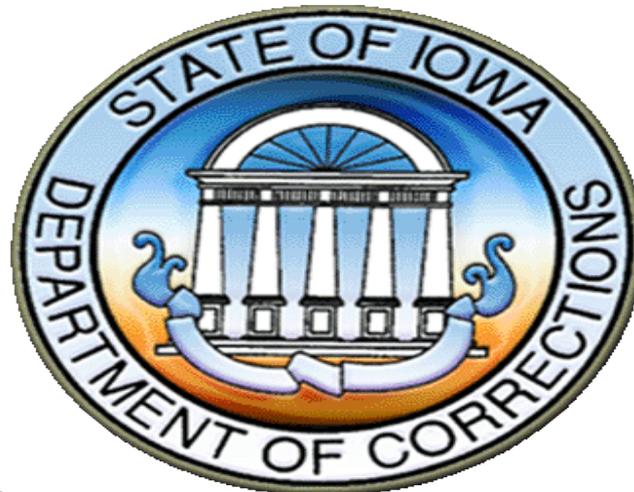
TPC Case Management Handbook:

An Integrated Case Management Approach

<http://static.nicic.gov/Library/024393.pdf>

Iowa Corrections System

We advance successful reentry of individuals leaving prison to protect the public, staff and offenders from victimization by creating partnerships and utilizing evidence based practices to prepare these individuals to be pro-social members of our communities without correctional supervision.



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Let's Recap

- Use of EBPs have proven to be effective in reducing costs and recidivism, while maintaining public safety
- Must be cognizant of the challenges to implementing EBPs in your jurisdiction
- Specific elements are essential to successful implementation of EBPs
- Effective leaders must take a variety of stances



Other Useful Resources

National Parole Resource Center

<http://nationalparoleresourcecenter.org/>

NIC's Paper Series for Paroling Authorities
and Supervision Agencies

<http://nicic.gov/Library/024197>

Federal Probation, September 2011

[http://www.uscourts.gov/viewer.aspx?doc=/uscourts/
FederalCourts/PPS/Fedprob/2011-09/index.html](http://www.uscourts.gov/viewer.aspx?doc=/uscourts/FederalCourts/PPS/Fedprob/2011-09/index.html)



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Citations

Crime and Justice Institute at Community Resources for Justice. 2009. *Implementing Evidence-Based Policy and Practice in Community Corrections* (2nd Ed.). Washington, DC: National Institute of Corrections.

Solomon, Amy et al. 2008. *Putting Public Safety First: 13 Parole Supervision Strategies to Enhance Reentry Outcomes*. Washington, DC: The Urban Institute, Justice Policy Center.

Travis, Jeremy. 2005. *But They All Come Back: Facing the Challenges of Prisoner Reentry*. Washington, DC: Urban Institute Press.



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Questions...

...Comments



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