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**Combines existing:**

**5122-29-04: MH Assessment service**

**3793:2-1-08(K): AoD Assessment service**

**5122-29-04**     Assessment service.

(A) An assessment is an evaluation of a person which is:

(1) Individualized; and,

(2) Age, gender, and culturally appropriate.

The assessment determines functioning, nature, and extent of presenting problems to determine treatment needs and establish a treatment plan to address the person's mental illness or substance use disorder.

(B) A person must be assessed prior to the initiation of other services, except for providing crisis intervention or medical services in an emergency situation.

(C) An initial assessment must, at a minimum, include an evaluation of:

(1) The presenting problem;

(2) The risk of harm to self and others;

(3) The use of alcohol or drugs;

(4) The treatment history for mental illness or substance use/abuse; and,

(5) A medical history and examination (mental status or physical).

(D) A comprehensive assessment shall expand on the initial assessment and obtain additional information that is required to establish and implement a comprehensive treatment plan, and must be completed within thirty days of the initial assessment encounter.

(E) A person is not required to have an initial assessment prior to receiving a comprehensive assessment.

(F) Initial and comprehensive assessments shall be completed according to prevailing standards of care as defined by:

(1) "The Joint Commission";

(2) "The Commission on Accreditation of Rehabilitation Facilities";

(3) "The Council on Accreditation"; or,

(4) Other entities as designated by the director.

(G) Providers may accept initial or comprehensive assessments from other providers as long as they have been completed within the preceding twelve months. Prior assessments shall be reviewed and updated.

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**TO BE RESCINDED**

**5122-29-04 Mental health assessment service.**

- (A) Mental health assessment is a clinical evaluation provided by an eligible individual either at specified times or in response to treatment, or when significant changes occur. It is a process of gathering information to assess client needs and functioning in order to determine appropriate service/treatment based on identification of the presenting problem, evaluation of mental status, and formulation of a diagnostic impression.

The outcome of mental health assessment is to determine the need for care, and recommend appropriate services/treatment and/or the need for further assessment. Results of the mental health assessment shall be shared with the client.

- (B) An initial mental health assessment must be completed prior to the initiation of any mental health services. The only exceptions to this would be the delivery of crisis intervention mental health services or pharmacologic management services as the least restrictive alternative in an emergency situation.
- (1) The initial mental health assessment must, and subsequent mental health assessments may, include at minimum:
- (a) An age appropriate psychosocial history and assessment, to include consideration of multi-cultural/ethnic influences;
  - (b) The presenting problem;
  - (c) A diagnostic impression and treatment recommendations;
  - (d) For any service provided in a type 1 residential facility licensed by ODMH pursuant to rules 5122-30-01 to 5122-30-30 of the Administrative Code, a physical health screening to determine the need for a physical health assessment. Such screening shall be completed within one week of admission to the facility; and
  - (e) As determined by the provider, any other clinically indicated areas. Such areas may include, but are not limited to:
    - (i) Age appropriate areas of assessment such as for children, e.g., growth and development, family effect on child and child effect on family, and play and daily activities;
    - (ii) Use of alcohol/drugs;
    - (iii) Behavioral/cognitive/emotional functioning;
    - (iv) Mental status exam;
    - (v) Environment and home;
    - (vi) Leisure and recreation;
    - (vii) Childhood history;
    - (viii) Military service history;

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- (ix) Financial status;
- (x) Usual social, peer-group and environmental setting, (to include involvement in consumer-operated or peer services);
- (xi) Sexual orientation/history/issues;
- (xii) Family circumstances/custody status;
- (xiii) Vocational assessment;
- (xiv) Educational assessment;
- (xv) Legal assessment;
- (xvi) Early detection of mental illness that is life-threatening to self or others;
- (xvii) Nutritional status;
- (xviii) Maladaptive or problem behaviors;
- (xix) Psychiatric evaluation;
- (xx) Psychological assessment including intellectual, projective, neuropsychological, and personality testing;
- (xxi) Evaluations of language, self-care, visual-motor, and cognitive functioning;
- (xxii) Current level of functioning/functional status;
- (xxiii) Strengths;
- (xxiv) Relationships with family/significant others;
- (xxv) Spirituality;
- (xxvi) Health/medical history, including current health and dental status as well as the presence of any physical disabilities; and
- (xxvii) Indications of abuse and/or neglect.

(2) Mental health service providers may accept mental health assessments from prior evaluations. The clinical record shall reflect that such assessments have been reviewed and updated when appropriate prior to the initiation of any mental health services.

(C) The following shall apply with regard to the use of interactive videoconferencing. Interactive videoconferencing is defined in Chapter 5122-24 of the Administrative Code:

- (1) "Client site" means the location of a client at the time at which the service is furnished via interactive videoconferencing technology.
- (2) "Provider site" means the site where the eligible practitioner furnishing the service is located at the time the service is rendered via interactive videoconferencing technology.

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- (3) The agency shall obtain from the client/parent/legal guardian, signed, written consent for the use of videoconferencing technology.
  - (4) It is the responsibility of the agency to assure contractually that any entity or individuals involved in the transmission of the information guarantee that the confidentiality of the information is protected. When the client chooses to utilize videoconferencing equipment at a client site that is not arranged for by the agency, e.g., at his/her home or that of a family or friend, the agency is not responsible for any breach of confidentiality caused by individuals present at the client site.
  - (5) The agency shall provide the client written information on how to access assistance in a crisis, including one caused by equipment malfunction or failure.
  - (6) It is the responsibility of the agency to assure that equipment meets standards sufficient to:
    - (a) Assure confidentiality of communication;
    - (b) Provide for interactive videoconferencing communication between the practitioner and the client; and
    - (c) Assure videoconferencing picture and audio are sufficient to assure real-time interaction between the client and the provider and to assure the quality of the service provided.
    - (d) The client site must also have a person available who is familiar with the operation of the videoconferencing equipment in the event of a problem with the operation.
    - (e) If the client chooses to utilize videoconferencing equipment at a client site that is not arranged for by the agency, e.g., at his/her home or that of a family or friend, the agency is only responsible for assuring the equipment standards at the provider site.
  - (7) The decision of whether or not to provide initial or occasional in-person sessions shall be based upon client choice, appropriate clinical decision-making, and professional responsibility, including the requirements of professional licensing, registration or credentialing boards.
- (D) Mental health assessment service shall be provided and supervised by staff who are qualified according to rule 5122-29-30 of the Administrative Code.

3793:2-1-08 Treatment services.

- (K) Assessment service means the evaluation of an individual to determine the nature and extent of his/her abuse, misuse and/or addiction to alcohol and/or other drugs. Assessment services shall consist of time limited, structured, face-to-face sessions.
- (1) Face-to-face assessment sessions can include family members, legal guardians and/or significant others when the intended outcome of sessions is to ascertain the nature and extent of a client's alcohol and/or drug problem.
  - (2) Assessment services may be provided at an alcohol and drug addiction program site certified by the Ohio department of alcohol and drug addiction services or in the natural environment of the client being served.
  - (3) Assessment includes at a minimum, the following information:
    - (a) Presenting problem(s) and/or precipitating factors leading to the need for an assessment;

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- (b) History of alcohol and other drug use by client and family members and/or significant others;
  - (c) Current over-the-counter and prescription medications being used;
  - (d) History of treatment for alcohol and other drug abuse;
  - (e) Medical history;
  - (f) Allergies to include food and drug reactions;
  - (g) Employment history;
  - (h) Educational history;
  - (i) Legal history to include pending charges and parole/probation status;
  - (j) Mental status screen including but not limited to, appearance, attitude, motor activity, affect, mood, speech and thought content;
  - (k) Psychiatric history;
  - (l) Family history;
  - (m) Sexual history;
  - (n) Religion/spiritual orientation;
  - (o) Strengths/assets;
  - (p) Weaknesses/limitations;
  - (q) Degree of severity for the following dimensions: intoxication and withdrawal potential, biomedical conditions and complications, emotional/behavioral/cognitive conditions and complications, treatment acceptance/resistance, relapse potential, recovery environment and family or care giver functioning (youth only);
  - (r) Recommendations for treatment.
- (4) A program may accept an assessment from a program certified by the department or an assessment containing comparable elements of assessment required by this rule that has been completed within one year of the admission date of a client; however, a copy of the assessment shall be filed in the client's record and updated, signed and dated by a staff member of the admitting program authorized to conduct an assessment pursuant to agency-level 3793 of the Administrative Code.