



Department of Alcohol &
Drug Addiction Services



Department of
Mental Health

Orman Hall, ODADAS Director • John R. Kasich, Governor • Tracy J. Plouck, ODMH Director

March 12, 2012

TO: Executive Directors, Medicaid Participating ODADAS-Certified Treatment Programs
Executive Directors, Medicaid Participating ODMH-Certified Community Mental Health Agencies
Chief Fiscal Officers, Medicaid Participating ODADAS-Certified Treatment Programs
Chief Fiscal Officers, Medicaid Participating ODMH-Certified Community Mental Health Agencies
Executive Directors, ADAMHS/ADAS/CMHS Boards
Chief Fiscal Officers, ADAMHS/ADAS/CMHS Boards

FROM: Angie Bergefurd, Assistant Deputy Director, ODMH 
Douglas L. Day, Medicaid Administrator, ODADAS 

RE: State Fiscal Year (SFY) 2011 Medicaid Reconciliation & Actual Uniform Cost Report (AUCR) Additional Guidance

On December 29, 2011, Director's Hall and Plouck sent a letter to providers that included a waiver/variance of the filing of AUCR Agreed Upon Procedures (AUP) reports for SFY 2011 and a commitment to providing further guidance on this issue. This communication is the additional guidance to specifically address the connection between the AUCR AUP report filing and cost reporting for SFY 2011 date of service Medicaid claims.

Neither ODADAS nor ODMH have changed our respective AUCR AUP report rules applicable to SFY 2011. In order to avoid additional audit costs being incurred at the provider level, ODADAS and ODMH are providing the option of allowing providers currently subject to the SFY 2011 AUCR AUP requirement **and** Medicaid reconciliation for services provided between July 1, 2010 and October 3, 2010 to submit two (2) "sub schedule" AUCRS (if you are ODMH-certified or ODADAS-certified/licensed) or four (4) "sub schedule" AUCRs (if you are dually-certified) to ODADAS and/or ODMH. The sub schedule AUCRs may only be split between the time periods of July 1, 2010 through October 3, 2010 and October 4, 2010 through June 30, 2011.

The sub schedule AUCRs MUST tie back to the AUCR(s) in the AUP report(s). If they do not, they cannot be accepted by either department.

Providers who have already submitted the AUCR AUP report to either or both departments will need to submit the sub schedule AUCRs no later than 5:00 p.m. Friday, June 29, 2012.

Providers who are currently operating under the blanket variance granted on December 29, 2011 will need to submit the AUCR AUP report to either or both departments **AND** the optional sub schedule AUCRs no later than 5:00 p.m. Friday, June 29, 2012.

AUCR AUP reports and/or the AUCR sub-schedules should be sent to:

Ohio Department of Alcohol and Drug Addiction Services
Division of Fiscal Services
ATTN: AUCR AUP Reports
30 West Spring Street, 6th Floor
Columbus, OH 43215

And/or

Ohio Department of Mental Health
Division of Fiscal Services
ATTN: AUCR AUP Reports
30 East Broad Street, 8th Floor
Columbus, OH 43215

If you have any questions, please contact both Ms. Monica Burke for ODADAS at Monica.Burke@ada.ohio.gov and Ms. Theresa Rohrbaugh for ODMH at Theresa.Rohrbaugh@mh.ohio.gov.

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