

Ohio

UNIFORM APPLICATION

FY 2016/2017 - STATE BEHAVIORAL HEALTH ASSESSMENT AND PLAN

SUBSTANCE ABUSE PREVENTION AND TREATMENT and COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT

OMB - Approved 06/12/2015 - Expires 06/30/2018
(generated on 09/23/2016 11.55.33 AM)

Center for Substance Abuse Prevention
Division of State Programs

Center for Substance Abuse Treatment
Division of State and Community Assistance

and

Center for Mental Health Services
Division of State and Community Systems Development

State Information

State Information

Plan Year

Start Year 2016

End Year 2017

State SAPT DUNS Number

Number 808847669

Expiration Date

I. State Agency to be the SAPT Grantee for the Block Grant

Agency Name Ohio Department of Mental Health and Addiction Services

Organizational Unit Office of Planning, Quality and Research

Mailing Address OhioMHAS 30 East Broad Street, 8th floor

City Columbus, OH

Zip Code 43215

II. Contact Person for the SAPT Grantee of the Block Grant

First Name Tracy

Last Name Plouck

Agency Name Ohio Department of Mental Health and Addiction Services

Mailing Address OhioMHAS 30 East Broad Street, 36th floor

City Columbus, OH

Zip Code 43215

Telephone 614-466-2337

Fax

Email Address Tracy.Plouck@mha.ohio.gov

State CMHS DUNS Number

Number 808847669

Expiration Date

I. State Agency to be the CMHS Grantee for the Block Grant

Agency Name Ohio Department of Mental Health and Addiction Services

Organizational Unit Office of Planning, Quality and Research

Mailing Address OhioMHAS 30 East Broad Street, 8th floor

City Columbus

Zip Code 43215

II. Contact Person for the CMHS Grantee of the Block Grant

First Name Tracy

Last Name Plouck

Agency Name Ohio Department of Mental Health and Addiction Services

Mailing Address 30 East Broad Street, 36th Floor

City Columbus

Zip Code 43215

Telephone 614-466-2337

Fax

Email Address Tracy.Plouck@mha.ohio.gov

III. State Expenditure Period (Most recent State expenditure period that is closed out)

From

To

IV. Date Submitted

Submission Date 9/1/2016 12:00:45 PM

Revision Date 9/23/2016 11:55:15 AM

V. Contact Person Responsible for Application Submission

First Name Elizabeth

Last Name Gitter

Telephone 614-466-9963

Fax

Email Address Elizabeth.Gitter@mha.ohio.gov

Footnotes:

Alternate contact: Sanford.Starr@mha.ohio.gov, phone 614-644-8316

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [SA]

Fiscal Year 2017

U.S. Department of Health and Human Services
 Substance Abuse and Mental Health Services Administrations
 Funding Agreements
 as required by
 Substance Abuse Prevention and Treatment Block Grant Program
 as authorized by
 Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
 and
 Title 42, Chapter 6A, Subchapter XVII of the United States Code

Title XIX, Part B, Subpart II of the Public Health Service Act		
Section	Title	Chapter
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Section 1947	Nondiscrimination	42 USC § 300x-57
Section 1953	Continuation of Certain Programs	42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations	42 USC § 300x-65
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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Costal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g)

protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
17. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

LIST of CERTIFICATIONS

1. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93). By signing and submitting this application, the applicant is providing certification set out in Appendix A to 45 CFR Part 93.

2. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

3. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: _____

Signature of CEO or Designee¹: _____

Title: _____

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:



JOHN R. KASICH
GOVERNOR
STATE OF OHIO

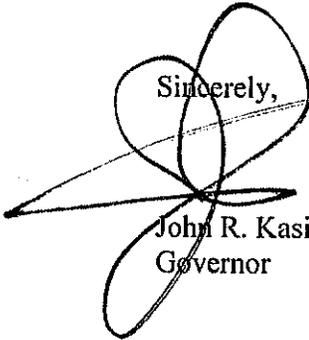
August 28, 2013

Virginia Simmons
Division of Grants Management
Office of Financial Resources, SAMHSA
1 Choke Cherry Road
Room 7-1109
Rockville, MD 29857

Dear Ms. Simmons:

With this letter, I delegate to the Director of the Ohio Department of Mental Health and Addiction Services the authority to apply for the Community Mental Health Services, Projects for Assistance in Transition from Homelessness, and Substance Abuse Prevention and Treatment grants. I also grant the authority to make the certifications, assurances, agreements and any proper waiver requests required. The authority will remain in effect for the duration of my administration as the Governor of Ohio.

Sincerely,


John R. Kasich
Governor

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [SA]

Fiscal Year 2017

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2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
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The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

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I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: TRACY J. PLOUCK

Signature of CEO or Designee¹: 

Title: DIRECTOR

Date Signed: 8.15.16

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

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Section 1911	Formula Grants to States	42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals	42 USC § 300x-1
Section 1913	Certain Agreements	42 USC § 300x-2
Section 1914	State Mental Health Planning Council	42 USC § 300x-3
Section 1915	Additional Provisions	42 USC § 300x-4
Section 1916	Restrictions on Use of Payments	42 USC § 300x-5
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4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Costal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g)

protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
17. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

LIST of CERTIFICATIONS

1. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93). By signing and submitting this application, the applicant is providing certification set out in Appendix A to 45 CFR Part 93.

2. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

3. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: _____

Signature of CEO or Designee¹: _____

Title: _____

Date Signed: _____

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:



JOHN R. KASICH
GOVERNOR
STATE OF OHIO

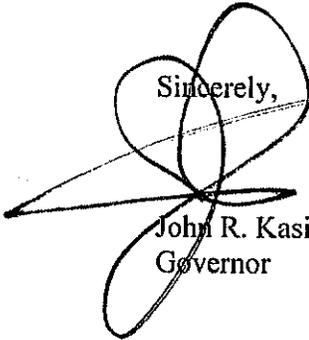
August 28, 2013

Virginia Simmons
Division of Grants Management
Office of Financial Resources, SAMHSA
1 Choke Cherry Road
Room 7-1109
Rockville, MD 29857

Dear Ms. Simmons:

With this letter, I delegate to the Director of the Ohio Department of Mental Health and Addiction Services the authority to apply for the Community Mental Health Services, Projects for Assistance in Transition from Homelessness, and Substance Abuse Prevention and Treatment grants. I also grant the authority to make the certifications, assurances, agreements and any proper waiver requests required. The authority will remain in effect for the duration of my administration as the Governor of Ohio.

Sincerely,


John R. Kasich
Governor

State Information

Chief Executive Officer's Funding Agreement - Certifications and Assurances / Letter Designating Signatory Authority [MH]

Fiscal Year 2017

U.S. Department of Health and Human Services
 Substance Abuse and Mental Health Services Administrations
 Funding Agreements
 as required by
 Community Mental Health Services Block Grant Program
 as authorized by
 Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act
 and
 Title 42, Chapter 6A, Subchapter XVII of the United States Code

Title XIX, Part B, Subpart II of the Public Health Service Act		
Section	Title	Chapter
Section 1911	Formula Grants to States	42 USC § 300x
Section 1912	State Plan for Comprehensive Community Mental Health Services for Certain Individuals	42 USC § 300x-1
Section 1913	Certain Agreements	42 USC § 300x-2
Section 1914	State Mental Health Planning Council	42 USC § 300x-3
Section 1915	Additional Provisions	42 USC § 300x-4
Section 1916	Restrictions on Use of Payments	42 USC § 300x-5
Section 1917	Application for Grant	42 USC § 300x-6
Title XIX, Part B, Subpart III of the Public Health Service Act		
Section 1941	Opportunity for Public Comment on State Plans	42 USC § 300x-51
Section 1942	Requirement of Reports and Audits by States	42 USC § 300x-52
Section 1943	Additional Requirements	42 USC § 300x-53
Section 1946	Prohibition Regarding Receipt of Funds	42 USC § 300x-56
Section 1947	Nondiscrimination	42 USC § 300x-57
Section 1953	Continuation of Certain Programs	42 USC § 300x-63
Section 1955	Services Provided by Nongovernmental Organizations	42 USC § 300x-65
Section 1956	Services for Individuals with Co-Occurring Disorders	42 USC § 300x-66

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
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11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955. as amended (42 U.S.C. §§7401 et seq.); (a)

protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

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14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance. 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §54801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
17. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

LIST of CERTIFICATIONS

1. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93). By signing and submitting this application, the applicant is providing certification set out in Appendix A to 45 CFR Part 93.

2. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Department of Health and Human Services terms and conditions of award if a grant is awarded as a result of this application.

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Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

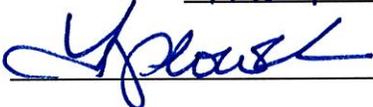
The authorized official signing for the applicant organization certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The applicant organization agrees that it will require that the language of this certification be included in any sub-awards which contain provisions for children's services and that all sub-recipients shall certify accordingly.

The Department of Health and Human Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the DHHS mission to protect and advance the physical and mental health of the American people.

I hereby certify that the state or territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service (PHS) Act, as amended, and summarized above, except for those sections in the PHS Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.

I also certify that the state or territory will comply with the Assurances Non-Construction Programs and Certifications summarized above.

Name of Chief Executive Officer (CEO) or Designee: TRACY J. Plouck

Signature of CEO or Designee¹: 

Title: DIRECTOR

Date Signed: 8.15.16

mm/dd/yyyy

¹If the agreement is signed by an authorized designee, a copy of the designation must be attached.

Footnotes:

State Information

Disclosure of Lobbying Activities

To View Standard Form LLL, Click the link below (This form is OPTIONAL)

[Standard Form LLL \(click here\)](#)

Name	<input type="text"/>
Title	<input type="text"/>
Organization	<input type="text"/>

Signature: _____ Date: _____

Footnotes:

This form is optional and not applicable. As a state agency, Ohio Department of Mental Health and Addiction Services does not lobby.

Planning Tables

Table 2 State Agency Planned Expenditures [MH]

Planning Period Start Date: 7/1/2016 Planning Period End Date: 6/30/2017

Activity (See instructions for using Row 1.)	A.Substance Abuse Block Grant	B.Mental Health Block Grant	C.Medicaid (Federal, State, and Local)	D.Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	E.State Funds	F.Local Funds (excluding local Medicaid)	G.Other
1. Substance Abuse Prevention* and Treatment							
a. Pregnant Women and Women with Dependent Children*							
b. All Other							
2. Substance Abuse Primary Prevention							
3. Tuberculosis Services							
4. HIV Early Intervention Services							
5. State Hospital			\$16,611,733	\$0	\$217,811,793	\$0	\$0
6. Other 24 Hour Care		\$3,753,096	\$0	\$4,404,797	\$30,535,326	\$71,280,993	\$0
7. Ambulatory/Community Non-24 Hour Care		\$10,057,310	\$878,518,540	\$13,895,987	\$60,683,635	\$122,129,141	\$0
8. Mental Health Primary Prevention**		\$0	\$0	\$189,625	\$8,450,081	\$13,039,746	\$0
9. Evidenced Based Practices for First Episode Psychosis (10% of the state's total MHBG award)		\$1,629,288	\$0	\$0	\$0	\$0	\$0
10. Administration (Excluding Program and Provider Level)		\$853,185	\$1,223,273	\$954,000	\$33,424,276	\$42,159,633	\$0
11. Total	\$0	\$16,292,879	\$896,353,546	\$19,444,409	\$350,905,111	\$248,609,513	\$0

* Prevention other than primary prevention

** It is important to note that while a state may use state or other funding for these services, the MHBG funds must be directed toward adults with SMI or children with SED.

Footnotes:

(1) Planned expenditures for Mental Health Block Grant (MHBG) will vary from the federal fiscal year award, because states are allowed to expend the award over two federal fiscal years which overlap three of Ohio's state fiscal years which are July 1 - June 30. Ohio's Mental Health Block Grant Awards are \$15,897,769 for FFY 2017, \$16,292,879 for FFY 2016 and \$15,076,166 for FFY 2015.

(2) Revised 9/23/2016 to remove carryover by adjusting Ambulatory Care amount.

Planning Tables

Table 4 SABG Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Expenditure Category	FY 2016 SA Block Grant Award	FY 2017 SA Block Grant Award
1 . Substance Abuse Prevention* and Treatment	\$46,660,913	\$46,013,026
2 . Substance Abuse Primary Prevention	\$14,648,036	\$15,295,923
3 . Tuberculosis Services		
4 . HIV Early Intervention Services**		
5 . Administration (SSA Level Only)	\$3,226,787	\$3,226,787
6. Total	\$64,535,736	\$64,535,736

* Prevention other than primary prevention

** 1924(b)(2) of Title XIX, Part B, Subpart II of the Public Health Service Act (42 U.S.C. § 300x-24(b)(2)) and section 96.128(b) of the Substance Abuse Prevention and Treatment Block Grant; Interim Final Rule (45 CFR 96.120-137), SAMHSA relies on the HIV Surveillance Report produced by CDC, National Center for HIV/AIDS, Hepatitis, STD and TB Prevention. The HIV Surveillance Report, Volume 24, will be used to determine the states and jurisdictions that will be required to set-aside 5 percent of their respective FY 2016 SABG allotments to establish one or more projects to provide early intervention services for HIV at the sites at which individuals are receiving SUD treatment services. In FY 2012, SAMHSA developed and disseminated a policy change applicable to the EIS/HIV which provided any state that was a "designated state" in any of the three years prior to the year for which a state is applying for SABG funds with the flexibility to obligate and expend SABG funds for EIS/HIV even though the state does not meet the AIDS case rate threshold for the fiscal year involved. Therefore, any state with an AIDS case rate below 10 or more such cases per 100,000 that meets the criteria described in the 2012 policy guidance would be allowed to obligate and expend FY 2016 SABG funds for EIS/HIV if they chose to do so.

Footnotes:

Planning Tables

Table 5a SABG Primary Prevention Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Strategy	IOM Target	FY 2016	FY 2017
		SA Block Grant Award	SA Block Grant Award
Information Dissemination	Universal	\$1,700,000	\$1,601,869
	Selective	\$300,000	\$300,000
	Indicated	\$0	
	Unspecified	\$0	
	Total	\$2,000,000	\$1,901,869
Education	Universal	\$5,500,000	\$6,853,607
	Selective	\$800,000	\$850,000
	Indicated	\$155,000	\$243,374
	Unspecified	\$0	
	Total	\$6,455,000	\$7,946,981
Alternatives	Universal	\$400,000	\$850,474
	Selective	\$25,000	
	Indicated	\$10,000	
	Unspecified	\$0	
	Total	\$435,000	\$850,474
Problem Identification and Referral	Universal	\$300,000	\$100,000
	Selective	\$100,000	\$300,000
	Indicated	\$250,000	\$226,599
	Unspecified	\$0	
	Total	\$650,000	\$626,599

Community-Based Process	Universal	\$1,500,000	\$2,200,000
	Selective	\$200,000	
	Indicated	\$10,000	
	Unspecified	\$0	
	Total	\$1,710,000	\$2,200,000
Environmental	Universal	\$1,500,000	\$1,500,000
	Selective	\$75,000	
	Indicated	\$0	
	Unspecified	\$0	
	Total	\$1,575,000	\$1,500,000
Section 1926 Tobacco	Universal	\$288,000	\$270,000
	Selective	\$0	
	Indicated	\$0	
	Unspecified	\$0	
	Total	\$288,000	\$270,000
Other	Universal	\$1,455,036	
	Selective	\$0	
	Indicated	\$0	
	Unspecified		
	Total	\$1,455,036	\$0
Total Prevention Expenditures		\$14,568,036	\$15,295,923
Total SABG Award*		\$64,535,736	\$64,535,736
Planned Primary Prevention Percentage		22.57 %	23.70 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

(1) Section 1926 Tobacco has an additional \$30,000 budgeted in state funds not shown in Table 5a. (2) All expenditures for Resource

Development (Table 6) are from SAPT Administration line.

Planning Tables

Table 5b SABG Primary Prevention Planned Expenditures by IOM Category

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Activity	FY 2016 SA Block Grant Award	FY 2017 SA Block Grant Award
Universal Direct	\$8,143,036	\$10,274,081
Universal Indirect	\$4,500,000	\$3,401,869
Selective	\$1,500,000	\$1,150,000
Indicated	\$425,000	\$469,973
Column Total	\$14,568,036	\$15,295,923
Total SABG Award*	\$64,535,736	\$64,535,736
Planned Primary Prevention Percentage	22.57 %	23.70 %

*Total SABG Award is populated from Table 4 - SABG Planned Expenditures

Footnotes:

Planning Tables

Table 5c SABG Planned Primary Prevention Targeted Priorities

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Targeted Substances	
Alcohol	b
Tobacco	e
Marijuana	b
Prescription Drugs	b
Cocaine	e
Heroin	b
Inhalants	e
Methamphetamine	e
Synthetic Drugs (i.e. Bath salts, Spice, K2)	e
Targeted Populations	
Students in College	b
Military Families	b
LGBTQ	e
American Indians/Alaska Natives	e
African American	b
Hispanic	b
Homeless	e
Native Hawaiian/Other Pacific Islanders	e
Asian	e
Rural	b
Underserved Racial and Ethnic Minorities	b

Footnotes:

Planning Tables

Table 6a SABG Resource Development Activities Planned Expenditures

Planning Period Start Date: 10/1/2016 Planning Period End Date: 9/30/2018

Activity	FY 2016 SA Block Grant Award				FY 2017 SA Block Grant Award			
	Prevention	Treatment	Combined	Total	Prevention	Treatment	Combined	Total
1. Planning, Coordination and Needs Assessment	\$65,000			\$65,000				\$0
2. Quality Assurance	\$15,000			\$15,000		\$5,000		\$5,000
3. Training (Post-Employment)				\$0				\$0
4. Education (Pre-Employment)				\$0				\$0
5. Program Development				\$0				\$0
6. Research and Evaluation				\$0				\$0
7. Information Systems				\$0	\$100,000			\$100,000
8. Total	\$80,000	\$0	\$0	\$80,000	\$100,000	\$5,000	\$0	\$105,000

Footnotes:

(1) All Resource Development is included in SAPT Administration costs which is subject to a 5% cap. (2) Quality Assurance includes peer review

provided by Ohio Council for a few SAPT providers which are not nationally accredited. (3) Information Systems (Prevention) includes KNOW-TIPS and Network of Care which provide prevention information to the public through websites.

Planning Tables

Table 6b MHBG Non-Direct Service Activities Planned Expenditures

Planning Period Start Date: 7/1/2016 Planning Period End Date: 6/30/2017

Service	Block Grant
MHA Technical Assistance Activities	\$1,470,500
MHA Planning Council Activities	\$8,530
MHA Administration	\$814,644
MHA Data Collection/Reporting	
MHA Activities Other Than Those Above	\$1,311,574
Total Non-Direct Services	\$3605248

Comments on Data:

(1) In the Expenditure Report due December 1, the total of Table 6b (Non-Direct Activities) and Table 7 (Direct Activities) equals the total MH Expenditures in Table 2.
 (2) Other MHA Activities are "Advocacy" activities in state budget which fund consumer and family led organizations, and related activities by other organizations. These activities include peer or family supports, education and wellness activities, as well as advocacy, networking and statewide conferences.

Footnotes:

Ohio uses other funds for data collection. Additionally, some of the technical assistance awards to Coordinating Centers of Excellence include some data collection.

Environmental Factors and Plan

22. State Behavioral Health Planning/Advisory Council and Input on the Mental Health/Substance Abuse Block Grant Application

Narrative Question:

Each state is required to establish and maintain a state Mental Health Planning/Advisory Council for adults with SMI or children with SED. To meet the needs of states that are integrating mental health and substance abuse agencies, SAMHSA is recommending that states expand their Mental Health Advisory Council to include substance abuse, referred to here as a Behavioral Health Advisory/Planning Council (BHPC). SAMHSA encourages states to expand their required Council's comprehensive approach by designing and implementing regularly scheduled collaborations with an existing substance abuse prevention and treatment advisory council to ensure that the council reviews issues and services for persons with, or at risk for, substance abuse and substance use disorders. To assist with implementing a BHPC, SAMHSA has created [Best Practices for State Behavioral Health Planning Councils: The Road to Planning Council Integration](#).⁹⁷

Additionally, [Title XIX, Subpart III, section 1941 of the PHS Act \(42 U.S.C. 300x-51\)](#) applicable to the SABG and the MHBG, requires that, as a condition of the funding agreement for the grant, states will provide an opportunity for the public to comment on the state block grant plan. States should make the plan public in such a manner as to facilitate comment from any person (including federal, tribal, or other public agencies) both during the development of the plan (including any revisions) and after the submission of the plan to SAMHSA.

For SABG only - describe the steps the state took to make the public aware of the plan and allow for public comment.

For MHBG and integrated BHPC: States must include documentation that they shared their application and implementation report with the Planning Council; please also describe the steps the state took to make the public aware of the plan and allow for public comment.

SAMHSA requests that any recommendations for modifications to the application or comments to the implementation report that were received from the Planning Council be submitted to SAMHSA, regardless of whether the state has accepted the recommendations. The documentation, preferably a letter signed by the Chair of the Planning Council, should state that the Planning Council reviewed the application and implementation report and should be transmitted as attachments by the state.

Please consider the following items as a guide when preparing the description of the state's system:

1. How was the Council actively involved in the state plan? Attach supporting documentation (e.g., meeting minutes, letters of support, etc.).
2. What mechanism does the state use to plan and implement substance abuse services?
3. Has the Council successfully integrated substance abuse prevention and treatment or co-occurring disorder issues, concerns, and activities into its work?
4. Is the membership representative of the service area population (e.g., ethnic, cultural, linguistic, rural, suburban, urban, older adults, families of young children)?
5. Please describe the duties and responsibilities of the Council, including how it gathers meaningful input from people in recovery, families and other important stakeholders, and how it has advocated for individuals with SMI or SED.

*Additionally, please complete the Behavioral Health Advisory Council Members and Behavioral Health Advisory Council Composition by Member Type forms.*⁹⁸

⁹⁷<http://beta.samhsa.gov/grants/block-grants/resources>

⁹⁸There are strict state Council membership guidelines. States must demonstrate: (1) the involvement of people in recovery and their family members; (2) the ratio of parents of children with SED to other Council members is sufficient to provide adequate representation of that constituency in deliberations on the Council; and (3) no less than 50 percent of the members of the Council are individuals who are not state employees or providers of mental health services.

Please use the box below to indicate areas of technical assistance needed related to this section:

OhioMHAS is requesting that technical assistance be extended through 2017 to finish work begun in 2016 to increase the effectiveness of Planning Council.

Footnotes:

Planning Council 2017

The Planning Council is actively involved in the state Substance Abuse and Mental Health Block Grant planning processes. A Block Grant Committee reviews the Plan, and makes recommendations to the Planning Council. The Planning Council reviews, edits and votes to approve these recommendations, as well as a letter of support signed by the chairperson. This letter of support for the application with recommendations is included at the end of this section.

Ohio has several processes to plan and implement substance abuse and mental health services. The Block Grant Planning process draws on the Community Planning process, as well as state and national data for needs assessment. OhioMHAS program, evaluation and fiscal staff develop the plans in consultation with Planning Council and many other stakeholders. In the community planning process, OhioMHAS collects and synthesizes information from 50 county and multi-county ADAMHS (Alcohol, Drug Addiction and Mental Health Board Services) systems which is included in the Block Grant Plan. OhioMHAS program staff work with persons in recovery, their family members, providers, Boards and advocacy groups in planning services, and describe that planning in the Block Grant Plan. Additional planning work has taken place in collaboration with the Ohio Department of Medicaid in Behavioral Health Redesign with a stakeholder committee which has included a Planning Council representative.

Planning Council addresses both Substance Abuse and Mental Health Block Grants. During the year, the Planning Council meets with the OhioMHAS Director, program staff, and leaders from community organizations to learn about Ohio's substance abuse and mental health service system, and provide feedback. Planning Council requested and received technical assistance to become more effective this year. As a result of that technical assistance, the Council had a presentation by the Ohio Citizen Advocates for Addiction Recovery to learn about effective advocacy. Additionally, that contributed to Planning Council members staffed tables to promote Planning Council activities at the Recovery and Trauma Informed Care conferences. The members were also able to gather information from constituents of mental health and substance abuse services through this process.

The Planning Council membership is representative of Ohio's service populations (e.g., ethnic, cultural, linguistic, rural, suburban, urban, older adults, families of young children). Families of children with serious emotional disturbances are represented, as well as Urban Minority Alcoholism Drug Abuse Outreach Program which provides prevention and recovery supports. The Council also includes providers and representatives of state agencies, as required by SAMHSA.

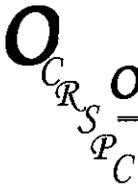
The duties and responsibilities of the Council are described in the Council's by-laws as follows:

1. Review the combined Substance Abuse Prevention and Treatment and Community Mental Health Block Grant Plan and submit to the State any recommendations for modification;
2. Serve as an advocate for adults with serious mental illness, children with a serious emotional disturbance, and other individuals with mental illnesses or emotional problems;

3. Monitor, review, and evaluate, not less than once each year, the allocation (i.e., resources and/or funding) and adequacy of mental health services within the State;

The Council will:

1. Advise, consult with and make recommendations to the State of Ohio's Mental Health and Addiction Services (OhioMHAS),
2. Advocate for a recovery-oriented system of care for persons in recovery or in need of recovery from mental illness and/or addiction.
3. Promote wellness, resiliency and prevention activities and reduce underage use of alcohol, tobacco, and other drugs.



Ohio Community Recovery Support Planning Council

July 29, 2016

Odessa F. Crocker
Office of Financial Resources, Division of Grants Management
Substance Abuse and Mental Health Services Administration
5600 Fisher Lane, 17th Floor
Rockville, MD 20850
DGM@samhsa.hhs.gov

Re: Ohio's Substance Abuse and Mental Health Block Grant Application

Dear Ms. Crocker,

The Ohio Community Support Planning Council voted to support the Ohio Department of Mental Health and Addiction Services application for Substance Abuse and Mental Health Block Grants for fiscal year 2017. The Block Grant Committee reviewed a draft version of the application, and recommended that the Council approve the Block Grant Plan; a list of recommendations is attached. Additionally, the Council has met with Director Tracy Plouck to discuss the mental health and addiction services.

Sincerely,

Jenni Mohler
Chair
Ohio Community Recovery Support Planning Council

Attachment

Cc Tracy Plouck
Angie Bergefurd
Jody Lynch
Mark Hurst, MD
Sanford Starr
Liz Gitter

**Planning Council's
SFY 2017 Block Grant Plan Recommendations**

- Increase access to transportation to mental health and addiction services, especially for transitional age youth and persons living in rural areas.
- Expand availability of “peer to peer” services for youth and adults in addiction recovery that are comparable to “consumer operated services” for persons in mental health recovery.
- Support peer-led statewide advocacy efforts for persons in mental health recovery.
- Promote training for teachers on addressing gender identity issues among LGBT-Q youth, a population that is at higher risk for mental illness and substance use disorders (SUD).
- Monitor success of transition from psychiatric hospitals, treatment centers (SUD) and detoxification facilities to community care.
- Address service gaps for transition age youth served by multiple systems by fostering collaboration across foster care, juvenile justice and mental health and addiction service systems.
- Increase access to MAT (medication assisted treatment for opioid addiction treatment).
- Continue trauma informed care initiative to include both educational material to avoid re-traumatizing youth and inclusion of Ohio schools.
- Increase access to higher intensity community based care, especially for persons who otherwise might be institutionalized in jail, prison, hospitals or treatment centers.
- Create learning community opportunities for providers, and other stakeholders.
- Provide job training in early recovery for young adults with SUD who typically don't qualify for ODJFS employment programs.

Environmental Factors and Plan

Behavioral Health Advisory Council Members

Start Year: 2016 End Year: 2017

Name	Type of Membership	Agency or Organization Represented	Address,Phone, and Fax	Email(if available)
Daniel Arnold	State Employees	Ohio Department of Medicaid	Columbus OH, 43215	Daniel.Arnold@medicaid.ohio.gov
Walter Asbury	Others (Not State employees or providers)	Disability Rights Ohio	Westerville OH,	waltasbury@hotmail.com
Jane Brynes	State Employees	Ohio Department of Aging	Columbus OH,	jbyrnes@age.com
David Caperton	Others (Not State employees or providers)	Ohio Citizens Advocates for Addiction Recovery	Organizational Representative Medina OH,	autoseller1110@yahoo.com
Lisa Carter	Providers	South Community	1349 E. Stroup St.Kettering OH, 43215 PH: 614-230-6049	Lcarter@southcommunity.com
Lisa Clark	Providers	Ohio Guidestone	Ohio GuidestoneColumbus OH, 43215	Lisa.Clark@ohioguidestone.org
Greg Collier	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		Toledo OH, 43608	gjcollier8@att.net
Craig Comedy	Providers	UMADAOP (Urban Minority Addiction Outreach)	UMADAOP Columbus OH,	columbus@umadaopfc.com
Cheryl Crayden	Family Members of Individuals in Recovery (to include family members of adults with SMI)		Orrville OH, 44667	cherylcrayden@zoominternet.net
Bob Cross	Others (Not State employees or providers)		Columbus OH, 43221	Bcross41@yahoo.com
John Dellick	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		7936 Spring StreetCanfield OH, 43618	jdellck3@aol.com
Elizabeth Gitter	State Employees	Ohio Department of Mental Health and Addiction Services	OH, 43215	Elizabeth.Gitter@mha.ohio.gov
Alaina Herrel	Others (Not State employees or providers)	Ohio Assoc of County Behavioral Health Authorities	Ohio Association of County Behavioral Health Authorities Columbus OH, 43215	aherrel@oacbha.org
Sandra Keyes	Others (Not State employees or providers)		Columbus OH, 43221	sandrakeyes@columbus.rr.com
Diane Mang	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		214 Taggart Ave NEMassillon OH, 44646	dianemang@apsdreamworks.com
	Family Members of Individuals in			

Dustin McKee	Recovery (to include family members of adults with SMI)	NAMI Ohio	NAMI Ohio OH, 43215	dustin@namiohio.org
Meghan McNeil	Parents of children with SED		Columbus OH, 43229	Mmcneil@ohioempowerment.org
Kimberly Meals	Providers	Community Support Services	Community Support Services - MH Akron OH, 44311	mealskim@cssbh.org
Barbara Miller	State Employees	OH Dept. of Development - housing	Ohio Dept of Development housing Columbus OH, 43215	barbara.miller@development.ohio.gov
Jennilee Mohler	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		Organizational Representative Walbridge OH, 43465	JJWBJ1@gmail.com
Jody Morgan	Individuals in Recovery (to include adults with SMI who are receiving, or have received, mental health services)		2349 Sebago Hillard OH, 43026	Morgan_jody@yahoo.com
Alissa Otani-Cole	State Employees	OOD Rehabilitation Services Commission employment		alissa.Otani-Cole@ood.ohio.gov
Domina Page	Providers	Miami County Recovery Council	Women's SUD Treatment Troy OH, 45373	dpage@mrcrcinc.org
Angela Schoepflin	Others (Not State employees or providers)		Saint Paris OH,	Seigna72@hotmail.com
Mickey Scoville	Others (Not State employees or providers)		Mentor OH, 44060	micnbud@hotmail.com
Sara Sheline	Others (Not State employees or providers)		Lancaster OH, 43130	shelinesara@yahoo.com
Mark Smith	State Employees	Ohio Department of Education	Ohio Department of Education Columbus OH, 43215	Mark.Smith@education.ohio.gov
Sarah Smitley	Parents of children with SED		Sylvania OH, 43560	smsmitley@yahoo.com
Taylor Stevenson	Others (Not State employees or providers)		Columbus OH, 43219	tayxrae14@gmail.com
Sam Stull	Others (Not State employees or providers)		975 McClin Road Columbus OH, 43212	swstull@owu.edu
Gail Thomas	State Employees	ODJFS social services	ODJFS social services Columbus OH, 43215	gail.thomas@jfs.ohio.gov
Donna Thomas	State Employees	Ohio Dept of Rehabilitation and Corrections	Ohio Dept of Rehabilitation and Corrections Columbus OH, 43215	Donna.Thomas@odrc.state.oh.us
Sue Williams	State Employees	ODJFS child welfare	ODJFS child welfare Columbus OH, 43215	Sue.Williams@jfs.ohio.gov

Footnotes:

"Others" includes persons in recovery from addiction and their family members who are not employed as providers or state employees.

Environmental Factors and Plan

Behavioral Health Council Composition by Member Type

Start Year: 2016 End Year: 2017

Type of Membership	Number	Percentage
Total Membership	36	
Individuals in Recovery* (to include adults with SMI who are receiving, or have received, mental health services)	5	
Family Members of Individuals in Recovery* (to include family members of adults with SMI)	2	
Parents of children with SED*	2	
Vacancies (Individuals and Family Members)	2	
Others (Not State employees or providers)	10	
Total Individuals in Recovery, Family Members & Others	21	58.33%
State Employees	9	
Providers	5	
Federally Recognized Tribe Representatives	0	
Vacancies	1	
Total State Employees & Providers	15	41.67%
Individuals/Family Members from Diverse Racial, Ethnic, and LGBTQ Populations	4	
Providers from Diverse Racial, Ethnic, and LGBTQ Populations	2	
Total Individuals and Providers from Diverse Racial, Ethnic, and LGBTQ Populations	6	
Persons in recovery from or providing treatment for or advocating for substance abuse services	14	

* States are encouraged to select these representatives from state Family/Consumer organizations.

Indicate how the Planning Council was involved in the review of the application. Did the Planning Council make any recommendations to modify the application?

See attached documentation which describes how Planning Council was involved in the review of the application and includes a letter with a list of recommendations.

Footnotes:

(1) "Other" includes primarily persons in long term recovery from substance use disorder and their family members who are NOT state employees or family members.

The Council has equal representation for advocates with lived experience with mental illness and with long term recovery from substance use disorders. State agency staff are not designated as representing substance abuse or mental illness.

(2) Ohio has no federally recognized tribes, so this group is not represented.